



San Diego, CA, USA - September 29-October 3, 2024

Digital Avionics for Sustainability

PRESENTERS

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IEEE Aerospace & Electronic Systems Society

San Diego (CA), 30 September 2024

https://ieee-aess.org/tech-ops/avionics-systems-panel-asp

Outline

| 1. Introduction to the IEEE AESS Avionics Systems Panel | Rob (11:30) |
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| 3. Aviation Noise Impact Assessment and Mitigation | Erik (12:10) |
| 4. Evolving Technologies and R&I Agenda | Rob (12.30) |
| 5. ATM and Flight Management Systems | Alex (12.50) |
| 6. UTM, AAM and Trusted Automation | Alex (13.30) |
| 7. Recent Advances in Surveillance Systems | Giancarmine (13.50) |
| 8. High-Altitude and Sub-Orbital Flight Operations | Rob (14.10) |
| 9. Concluding Remarks | All (14.20) |



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Introduction to the IEEE AESS Avionics Systems Panel

Avionics Systems Panel

The Avionics Systems Panel (ASP) is composed of IEEE Associate or higher-level members who are representatives of industry, government laboratories, educational institutions, and professional societies and who are active in the domain of Avionics. Its main objectives are:

- Promote and support collaborative research initiatives in the domain of Avionics
- Develop and disseminate high-quality IEEE publications in the domain of Avionics
- Promote and support educational activities in the domain of Avionics
- Sustain and oversee the programs of the IEEE/AIAA Digital Avionics Systems Conference (DASC) and the Integrated CNS Conference; and contribute to other conferences and dissemination initiatives
- Manage the nomination and selection of candidates for IEEE Awards in the domain of Avionics
- Encourage submission of nominations for IEEE Fellows and Senior Members in the domain of Avionics
- Recommend and support new IEEE avionics standards or revisions of existing standards



ASP Committees and Regular Meetings

- The ASP relies on a diverse community of experts (currently from US, EU, UK and Asia), contributing to the work of five different committees. The panel holds regular monthly meetings addressing the following topics:
 - Research and Innovation (R&I). Participation to NASA UTM and AAM activities; connections/collaborations with NextGen in the US and SESAR in the EU; other national and international Avionics/ATM/UAS programs; Collaborations with JARUS, ICAO, IFATCA, and others
 - Publications Committee. Editorial Board and reviewer contributions to the Transactions on Aerospace and Electronic Systems and AESS Systems Magazine; Special Issues on Avionics, UTM/UAM and Space Systems; joint journal publication initiatives (e.g., Avionics Systems for Trusted Autonomy, Multi-Domain Traffic Management, Avionics Education)
 - Conferences. IEEE/AIAA Digital Avionics Systems Conference (DASC); IEEE/AIAA Integrated Communications, Navigation and Surveillance Systems (ICNS) Conference; IEEE/AIAA/PHM Aerospace Conference; other conferences
 - Education Activities. AESS Distinguished Lecturers/VDL Program updates; Webinars, Tutorials and Short Course initiatives
 - Industry Engagement and Standards. UAS/Autonomy, AI, V2X Communications, Cyber Security, etc.

Current ASP Activities

- The ASP focuses on avionics systems for commercial, military, and general aviation applications. Relevant avionics functions include: communications; navigation; surveillance; command and control; manned/unmanned air traffic management; and space systems (launch vehicles, satellites, and other space platforms)
- The ASP monitors, analyzes and supports industry and government activities that impact the future of aviation and space operations, such as the ICAO, RTCA/EUROCAE and AEEC standardization initiatives, the FAA NextGen program, the SESAR program, the NASA UTM and Advanced Air Mobility (AAM) initiatives, the JARUS working groups, the UNOOSA and COPUOS activities, and the NOAA-OSC Space Situation Awareness and Space Traffic Management (SSA/STM) policy developments
- The ASP also supports research and education activities related to the social, environmental, and economic impact of aviation and space systems, with specific emphasis on the development and industry uptake of digital technologies. The ongoing transformations of the airspace (both low-altitude and high-altitude operations) and the increasing congestion of the near-Earth space environment are prompting the need to integrate legacy ATM with emerging UTM, AAM and STM systems
- The establishment of a Multi-Domain Traffic Management (MDTM) framework requires significant technological and regulatory advances, especially in the area of autonomous systems, trustworthy/certifiable AI, and cognitive human-machine systems. This next-generation of avionics systems will support safer and more efficient flight operations, offering an array of new services and supporting a more sustainable development of the aerospace sector

ASP Research and Innovation Areas

1) Communication, Navigation and Surveillance for Air Traffic Management (CNS/ATM):

- Evolution of the certification framework for integrated CNS +Avionics
- Civil and military airspace integration and CNS+A systems interoperability.

2) Avionics Systems Integration and Security:

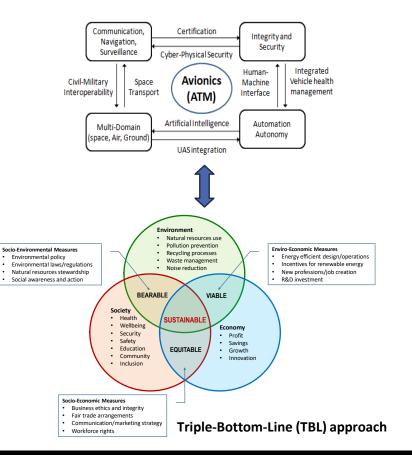
- Fault-tolerant avionics design and Integrated Vehicle Health Management (IVHM) systems;
- Cyber-physical security of avionics and CNS/ATM systems.

3) Multi-Domain Traffic Management (MDTM):

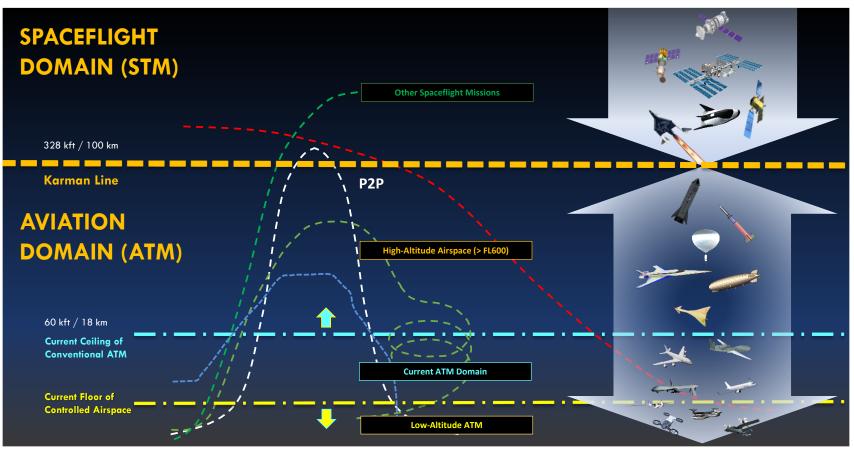
- UAS integration in all classes of airspace and UTM;
- Avionics for space transport, Space Traffic Management (STM) and intelligent satellite systems.

4) Automation and Autonomy:

- Development of Avionics Human-Machine Interfaces and Interactions (HMI²); and
- Artificial Intelligence (AI)/Machine Learning (ML) in avionics systems design and operations.

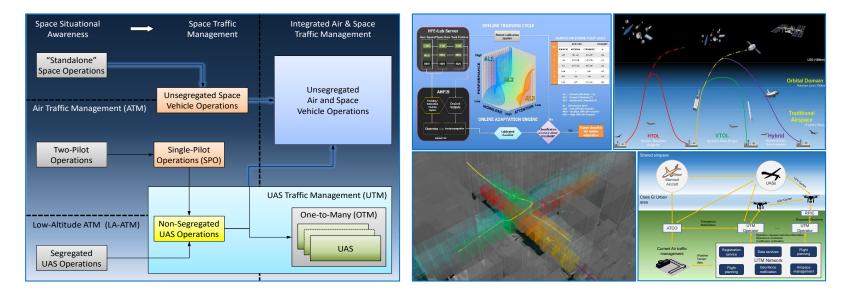


ASP R&I – Evolving Flight Domains



ASP R&I – MDTM Concept

- Multi-Domain Traffic Management (MDTM) research
- AI-based Cyber-Physical System (iCPS) architectures are being studied to support CNS/ATM and Avionics (CNS+A) system evolutions for trusted autonomous air and space transport operations



Recent ASP Publications

- [1] G. Fasano, O. G. Crespillo, R. Sabatini, A. Roy, and R. Ogan, "From the Editors of the Special Issue on Urban Air Mobility and UAS Airspace Integration: Vision, Challenges, and Enabling Avionics Technologies." IEEE Aerospace and Electronic Systems Magazine, Vol. 38, No. 5, pp. 4-5, May 2023
- [2] I. Majid, R. Sabatini, K. A. Kramer, E. Blasch, G. Fasano, G. Andrews, C. Camargo and A. Roy, "Restructuring Avionics Engineering Curricula to Meet Contemporary Requirements and Future Challenges." IEEE Aerospace and Electronic Systems Magazine, 36(4): 46-58, April 2021.
- [3] R. Sabatini, K. A. Kramer, E. Blasch, A. Roy and G. Fasano, "From the Editors of the Special Issue on Avionics Systems: Future Challenges." IEEE Aerospace and Electronic Systems Magazine, 36(4): 5-6, April 2021
- [4] R. Sabatini, A. Roy, E. Blasch, K. A. Kramer, G. Fasano, I. Majid, O. G. Crespillo, D. A. Brown, R. Ogan, "Avionics Systems Panel Research and Innovation Perspectives," *IEEE Aerospace And Electronics Systems Magazine*, 35(12):58-72, December 2020.
- [5] E. Blasch, R. Sabatini, A. Roy, K. Kramer, G. Andrew, G. Schmidt, C. Insaurralde, and G. Fasano, "Cyber Awareness Trends in Avionics," 2019 IEEE/AIAA 38th Digital Avionics Systems Conference (DASC), October 2019



Other ASP Publication Initiatives

- Currently working to a new position paper for the AESS Systems Magazine on industry-focused Avionics Research and Innovation (R&I) perspectives, focusing on AI opportunities and challenges
- Discussing a possible update of the paper published in April 2021 on Avionics Systems Education, with stronger focus on "system thinking" and practical avionics systems design (HW/SW) for certification and Test and Evaluation (T&E) skills
- The ASP is developing a book proposal for the IEEE Series on Aeronautics and Astronautics Systems. The goal is to send this new book proposal by the end of 2024. The provisional title is *"Advances in Digital Avionics and Space Systems."* Current chapters include:
 - Chapter 1: Avionics Research and Innovation Perspectives
 - Chapter 2: CNS/ATM and Avionics Evolutions to Meet future Air Traffic Requirements
 - Chapter 3: UTM and AAM Implementation Challenges
 - Chapter 4: Avionics Systems for Urban Air Mobility
 - Chapter 5: Avionics Systems for Spacecraft Operations
 - Chapter 6: Cyber Security Aspects in Future Avionics Systems
 - Chapter 7: Avionics Human-Machine Interactive Interfaces
 - Chapter 8: Architectures to Support Future On-Board Avionics
 - Chapter 9: Avionics Hardware and Software Certification Aspects
 - Chapter 10: Certification of AI/ML in Safety-Critical Avionics Systems
 - Chapter 11: Avionics Education and Training to Support Industry Needs and Future Trends





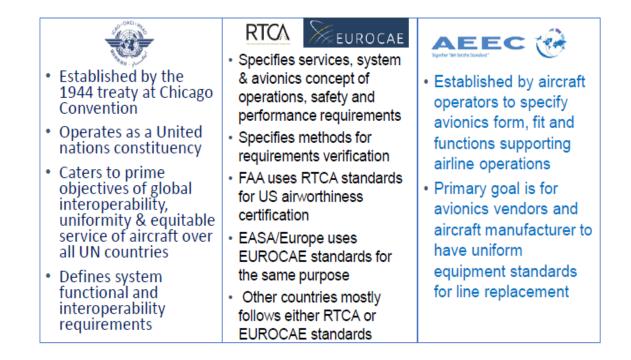
ASP Distinguished Lecturers

- Various ASP members serve as Distinguished Lecturers (DL) and are actively contributing to the AESS Distinguished Lecturer (DL) and Virtual DL (VDL) Webinar Series:
 - Roberto Sabatini Aerospace Intelligent and Autonomous Systems
 - Erik Blasch Multisensor Systems and Data Fusion
 - Kathleen Kramer Navigation and Cyber-Security in Avionics
 - Giancarmine Fasano Unmanned Aerial Vehicles
 - Carlos Insaurralde Modelling and Decision Making for ATM



ASP Industry Engagement and Standards

ASP members are contributing to the advancement of ICAO, RTCA, EUROCAE/SAE and AEEC avionics standards.



Future Standards

- In 2024, the ASP continued supporting the development of DDT&E and certification standards, focusing on CNS, UAS Traffic Management and Advanced Air Mobility
- ASP members contributed to JARUS (Joint Authorities for Rulemaking on Unmanned Systems) Working Group 7 – Automation Concept of Operations, focusing on:
 - ATM and UTM Automation
 - Flight Rules for Autonomous Operations
 - Infrastructure, Aerodromes and Ground Equipment
 - Automation and Trusted Autonomy Use Cases
 - Considerations for Technology Maturity
 - Multiple Simultaneous Operations
- Documents with ASP contributions:
 - Whitepaper on the Automation of the Airspace Environment. Joint Authorities for Rulemaking of Unmanned Systems (JARUS), Document No. JARUS- DEL-WG-AUTO-Whitepaper, January 2024
 - JARUS Methodology for Evaluation of Automation for UAS Operations. Joint Authorities for Rulemaking of Unmanned Systems (JARUS), Document No. JARUS-Doc-AutoMethod.1.0, April 2023.



Future Standards (cont.)

In addition to JARUS Automation/Autonomy, recent ASP contributions have focused on AI/ML certification (SAE G-34/EUROCAE WG-114), which was also a topic of the DASC 2022 and 2023 ASP tutorials:

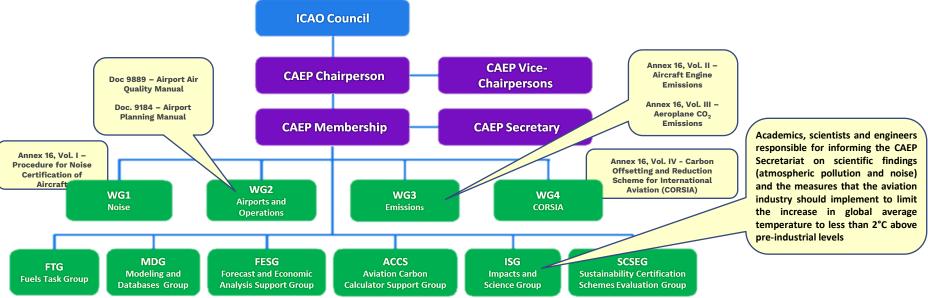
- SAE G-34/EUROCAE WG-114, Artificial intelligence in Aviation Reviews current aerospace software, hardware, and system development standards used in the certification/approval process of safety-critical airborne and ground-based systems, and assesses whether these standards are compatible with a typical Artificial Intelligence (AI) and Machine Learning (ML) development approach.
- Published Standard: AIR6988 / ER-022 Artificial Intelligence in Aeronautical Systems: Statement of Concerns (2021).
- Works In Progress:
 - ARP6983 / ED-324 Process Standard for Development and Certification / Approval of Aeronautical Safety-Related Products Implementing AI;
 - AIR6987 / ER-27 Artificial Intelligence in Aeronautical Systems: Taxonomy;
 - AIR6994 / ER-xxx Artificial Intelligence in Aeronautical Systems: Use Cases Considerations.

ICAO Environmental Policy



Committee on Aviation Environmental Protection - CAEP

- To limit or reduce the number of people affected by significant aircraft noise
- * To limit or reduce the impact of aviation greenhouse gas emissions on the global climate
- To limit or reduce the impact of aviation emissions on local air quality and water/land contamination

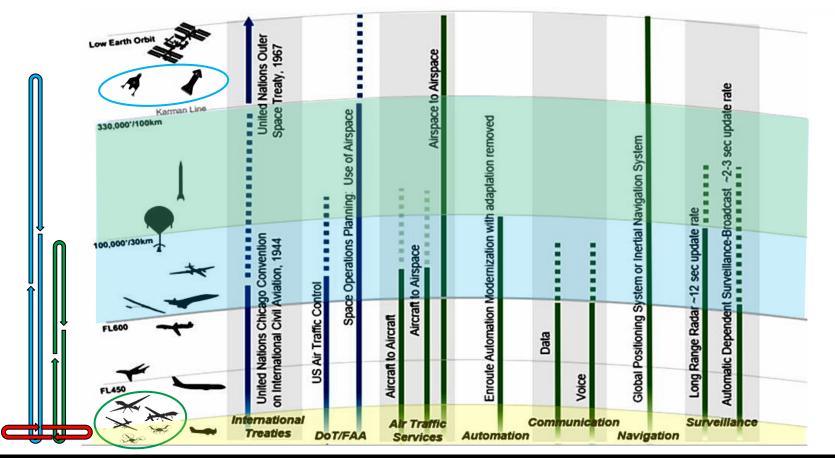




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Sustainability Challenges and Opportunities

Evolving Flight Domains



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Air Transport Industry Snapshot

- The aviation industry plays an important role in the global economy. According to ATAG*, this sector <u>contributed US\$3.5 trillion to the world GDP (4.1%) and supports 87.7 million jobs globally</u>
- The aviation market is expected to grow significantly in the coming decades. By 2038, global air transport is forecast to support 143 million jobs and contribute \$6.3 trillion to the global economy. So, its growth must be sustainable with affected communities supported and the environment protected
- Key R&I drivers post-COVID include Advanced Air Mobility and low-level ATM evolutions (UAS Traffic Management and Urban/Regional Air Mobility), flight above FL600 (stratospheric flight) and sub-orbital space transport



(*) Aviation Benefits Beyond Borders Report. URL: https://aviationbenefits.org/economic-growth/

Space Industry Snapshot

- The global space economy is worth approximately 500 billion USD and, at the current annual growth rate (9%), is set to reach \$1.8 trillion by 2035 (*)
- Space-based and/or enabled technologies such communications; positioning, navigation and timing; and Earth observation services are expected to be the key drivers of this growth
- Five sectors supply chain and transport; food and beverage; state-sponsored defense; retail, consumer and lifestyle; and digital communications – are forecast to generate 60% of the global space economy by 2035, although others will also benefit
- Space will play an increasingly vital role in mitigating world challenges, ranging from disaster warning and climate monitoring, to improved humanitarian response and more widespread prosperity

(*) World Economic Forum (2024): https://www.weforum.org/agenda/2024/04/space-economy-technology-invest-rocket-opportunity/



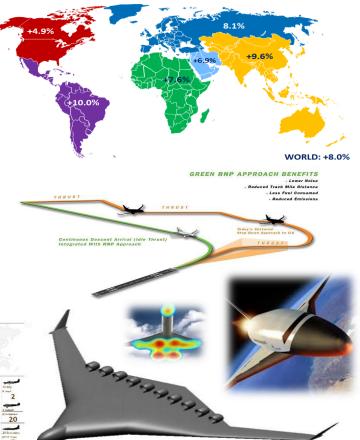
XX → YY Increase in global market size (in \$ billion from 2023 to 2035

Current Challenges

- Enhancing Safety, Efficiency and Environmental Sustainability of aviation and spaceflight to support the anticipated growth of these sectors
- Research and Innovation Areas
 - Next Generation ATM Communications, Navigation, Surveillance (CNS) & Avionics (A) Systems (CNS+A)
 - UAS access to all classes of airspace (trusted autonomy)
 - Development and rapid uptake of low-emission technologies (gaseous and noise emissions)
 - Improved efficiency and capacity of airports and spaceports (digitalisation/multimodal)
 - Solutions for enhanced safety and security







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Avionics Cyber-Physical Systems

The aerospace community is focusing on two special categories of Cyber-Physical Systems (CPS):

- Autonomous Cyber-Physical (ACP) systems
 - Semi-Autonomous Cyber-Physical (S-ACP) systems
- Cyber-Physical-Human (CPH) systems

The challenge is to develop robust, fault-tolerant and secure ACP and CPH systems that ensure **trusted autonomous operations** given:

- Specific hardware constraints
- Variability of mission requirements
- Uncertainties in physical processes
- The possibility cyber/physical attacks and human errors

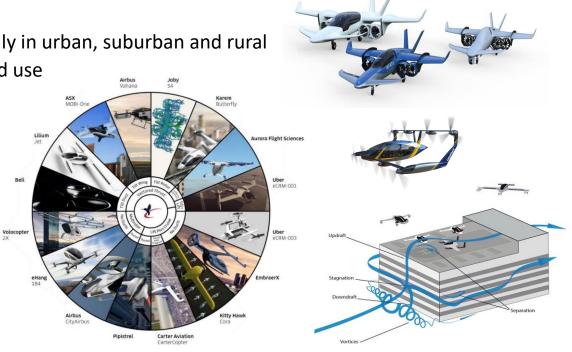




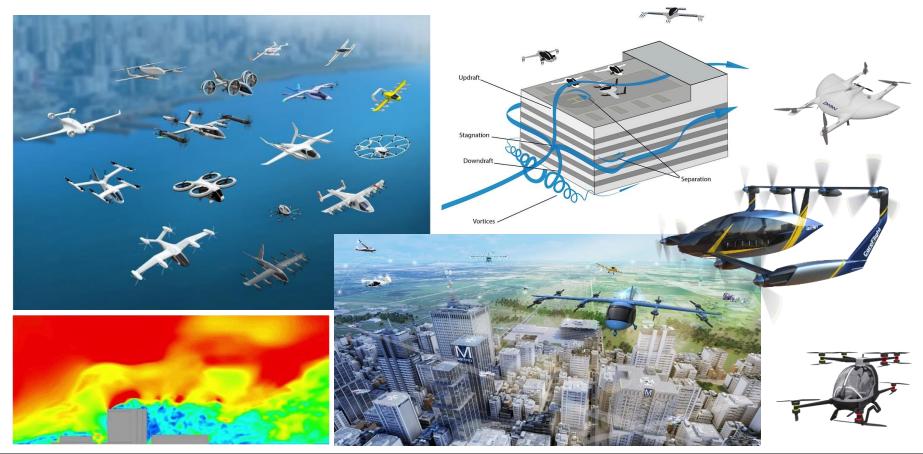


Advanced Air Mobility (AAM)

- AAM integrates innovative aircraft like electric and hybrid-electric VTOLs and UAS technologies into airspace (predominately low-altitude airspace), enhancing transport for people and goods
- Set to transform air travel, especially in urban, suburban and rural areas, with diverse applications and use cases (air taxis, regional cargo, transport, aeromedical, etc.)
- The system promises to reduce congestion, improve accessibility, and offer sustainable transportation solutions



AAM VTOL Platforms and Environment



Sustainable Flight Platforms Lilium Wisk Cora Volocopter CityAirbus Aurora PAV

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A³ Vahana

High-Altitude & High-Speed Flight Platforms





Atlas LTA



Kea Atmos



Stratobus



Proteus



NASA Helios



UAVOS



Lockheed – Mach 1.8



Boeing – Mach 5



NASA X-59 - Mach 1.4

Point-to-Point Suborbital Transport

- Introduction of Commercial Space Industry has accelerated development of <u>manned and unmanned reusable space vehicles</u> (SpaceX, Reaction Engines, Virgin Galactic, Sierra Nevada, etc.)
- Space Tourism, Research, Point to Point transport have been identified as commercially and economically viable markets
- The need for integration of space and traditional atmospheric traffic is widely accepted (NextGen, SESAR)
- A global, harmonized Air and Space Traffic Management network will require the implementation of advanced CNS+A technology for trusted autonomous operations
- Success of industry will fundamentally depend on the ability to demonstrate an acceptable level of safety and sustainability



Virgin Galactic Space Ship 2



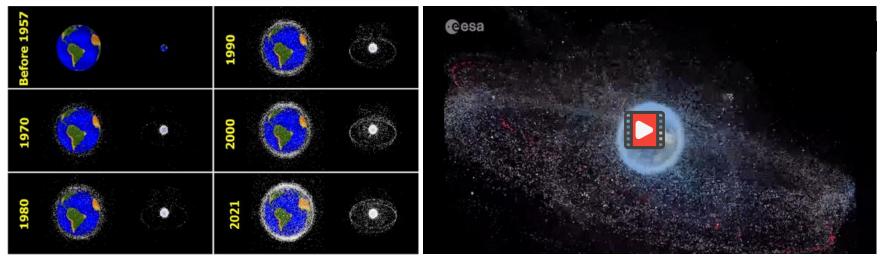
Sierra Nevada Dream Chaser



Reaction Engines Skylon

Orbital Congestion

Resident Space Objects (RSO) > 1mm



Courtesy: ESA

https://www.esa.int/ESA_Multimedia/Images/2019/10/Distribution_of_space_debris_around_Earth

Collision Events



IRIDIUM vs. KOSMOS collision event (2009)



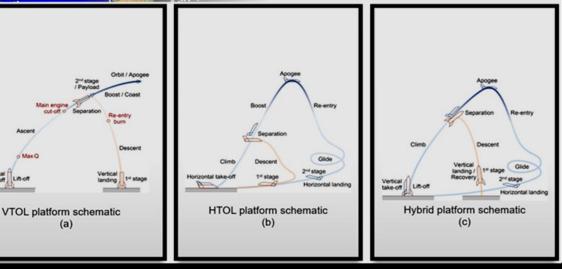
PRC Anti-Satellite Missile Test (2007)

Space Traffic Management

"Space traffic management is the set of technical and regulatory provisions for promoting safe access into outer space, operations in outer space and return from outer space to Earth free from physical or radio-frequency interference." - International Academy of Astronautics (AA)

> Only a few organisations have the global sensor networks and computational capability to perform this task

- Space Surveillance Network (SSN), USA,
- Space Surveillance and Tracking (SST) system, European Space Agency (ESA),
- Space Surveillance System (SSS), Russia,
- Network for Space Objects, Tracking, and Analysis (NETRA), ISRO,
- Canadian Space Surveillance System (CSSS), Canada.



43rd DASC, San Diego, CA, USA, 29 Sept-3 Oct 2024

Max Q

- Vertical Take-off and Landing (VTOL) E.g., SpaceX Falcon 9 - Figure (a)
- Horizontal Take-off and Landing (HTOL) - NASP and HOTOL - Figure (b)
- HYBRID-Space Shuttle Orbiter and Sierra Nevada Corporation's Dream Chaser platforms - Figure (c)

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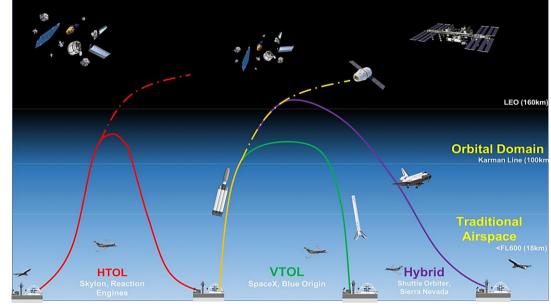
Space Transport Platforms

Vertical Takeoff & Landing

- Traditional approach to access space
- Limited in maneuverability (non-lifting body)
- Vertical landing pioneered by SpaceX reusable vehicle
- <u>Minimized time in atmosphere</u> is primary advantage from ATM perspective

Horizontal Takeoff & Landing

- Ability to perform "tactical" maneuvers like atmospheric aircraft
- More accommodating in their integration with ATM systems (can enact rerouting and tactical deconfliction)
- <u>Promising concept for Suborbital Point-To-</u> <u>Point transportation</u>



Hybrid

- Typically, carrier aircraft takes space vehicle to launch altitude
- Gliding flight most commonly performed after re-entry
- <u>Promising for reduced environmental impact</u>

Emerging Concepts

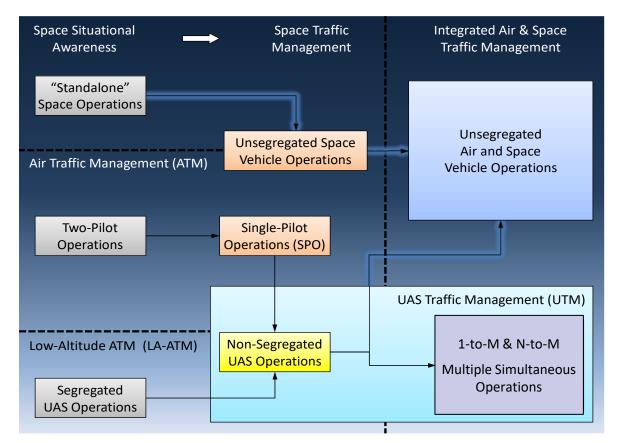
New York to Shanghai in 39 min



Bangkok to Dubai in 27 min

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Avionics Systems Evolution





R. Sabatini, A. Roy, E. Blasch, K. A. Kramer, G. Fasano, I. Majid, O. G. Crespillo, D. A. Brown and R. Ogan, "Avionics Systems Panel Research and Innovation Perspectives." IEEE Aerospace and Electronic Systems Magazine, Vol. 35, Issue 12, pp. 58-72, December 2020.

G. Fasano, O. G. Crespillo, R. Sabatini, A. Roy, and R. Ogan, "From the Editors of the Special Issue on Urban Air Mobility and UAS Airspace Integration: Vision, Challenges, and Enabling Avionics Technologies." IEEE Aerospace and Electronic Systems Magazine, Vol. 38, No. 5, pp. 4-5, May 2023.



Sustainable Aviation Challenges

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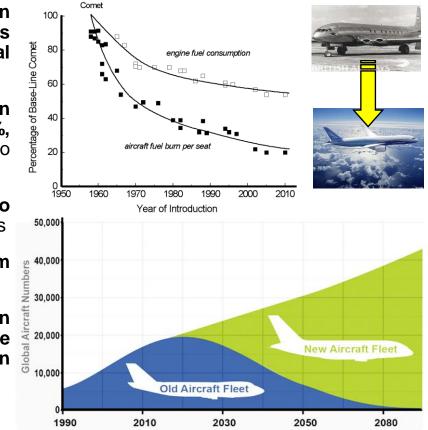
Global Aviation Challenges





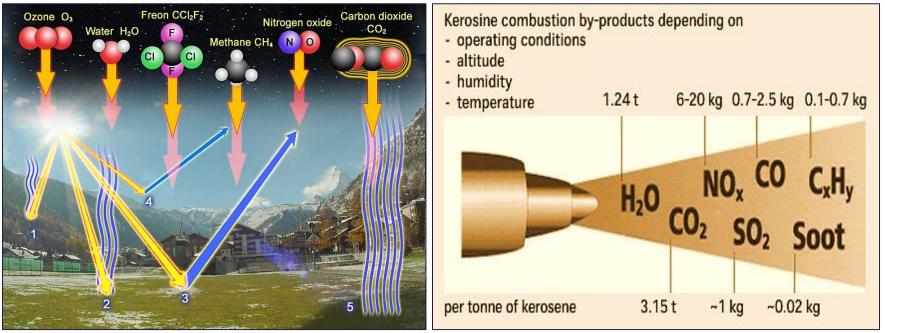
Aviation Sustainability Challenges

- Aircraft atmospheric pollution includes carbon dioxide, nitrogen oxide, unburned hydrocarbons (and more), all of which contribute to global warming
- It is estimated that aviation industry contribution to global warming is currently about 3%, although it may increase to 5-10% by 2050 due to the anticipated growth of air traffic
- Air quality is also degrading significantly due to aviation activities, especially at and around airports
- Aviation noise also has short and long-term health impacts both on humans and other animals
- Technology advances have been successful in reducing atmospheric pollution and noise emissions from aircraft, but these have not been able to offset the impacts of aviation growth

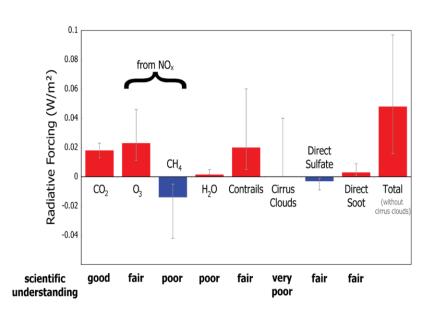


Greenhouse Pollutants and Aircraft Emissions

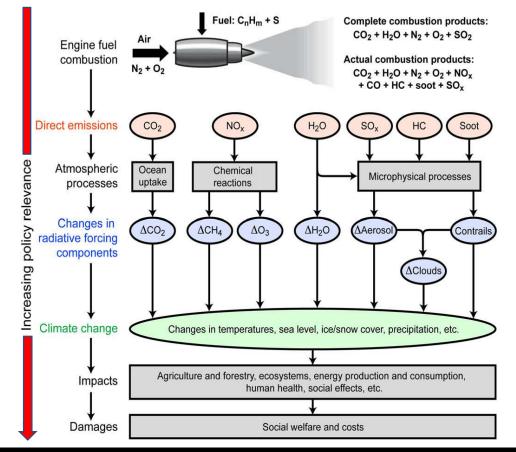
 Greenhouse effect caused by many types of pollutants. Aviation generates most types



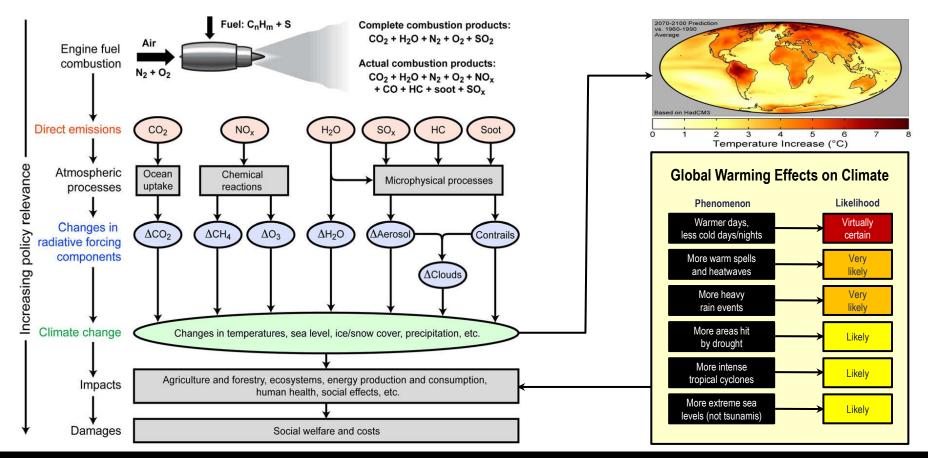
Impacts of Aviation Greenhouse Emissions



Radiative forcing (RF) is the change in the net vertical irradiance (Wm⁻²) at the tropopause due to an internal change or a change in the external forcing of the climate system



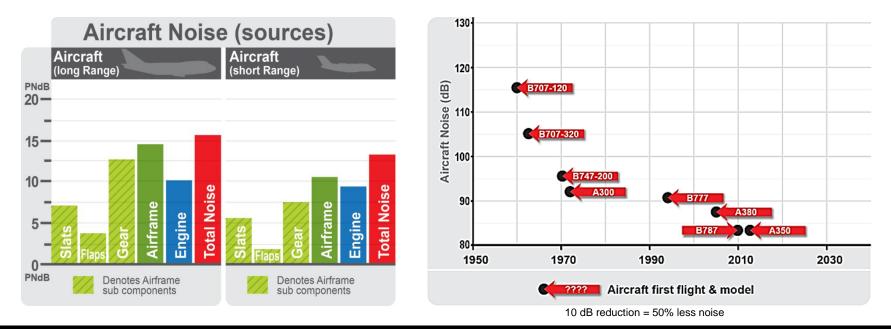
Impacts of Greenhouse Emissions



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Aircraft Noise Sources and Trends

- Modern aircraft are quieter than their predecessors. However, the steady growth in air traffic increases public exposure to aircraft noise (particularly for people living close to airports)
- Noise can dominate the relationship between airports and local residents, and can lead to restrictions in aircraft operations

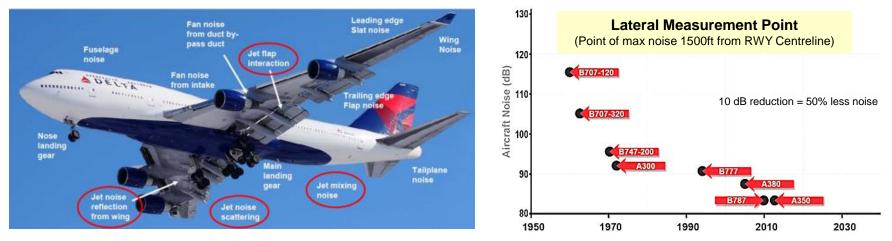




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Aviation Noise Impact Assessment and Mitigation

Aircraft Noise Impacts

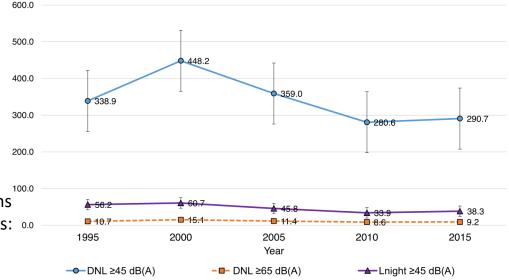


- Engine noise, aerodynamic noise, complex jet-airframe interactions
- The noise levels experienced by people on the ground are influenced by:
 - Aircraft type and size (propulsion and aerodynamics)
 - The distance of the aircraft from the ground (trajectory flown)
 - The way the engine and other aircraft systems are operated
 - The rate at which the aircraft climbs/descends
 - Meteorological conditions
- Noise Exposure Forecast (NEF) used for urban planning

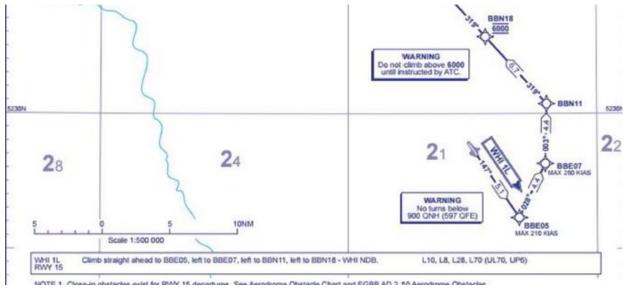


Aircraft Noise – Trend Analysis

- Analysis of noise levels (Nature study Characterizing temporal trends in populations exposed * to aircraft noise around U.S. airports: 1995–2015) influenced by:
 - Types: Passenger versus cargo
 - Region s- South and North America _
 - Affects: annoyance, hypertension, child learning
 - 2010 day-night average sound level (DNL) 55 Aweighted decibels (dB[A])
 - 2015 levels assessed DNL) 5 dBa and Night 45 dBA
- Mean Area (km²) Assessment and technology ** helps in the design and analysis for safety Characterizing temporal trends in populations exposed to aircraft noise around U.S. airports: 0.0 1995–2015 | Journal of Exposure Science & Environmental Epidemiology (nature.com)



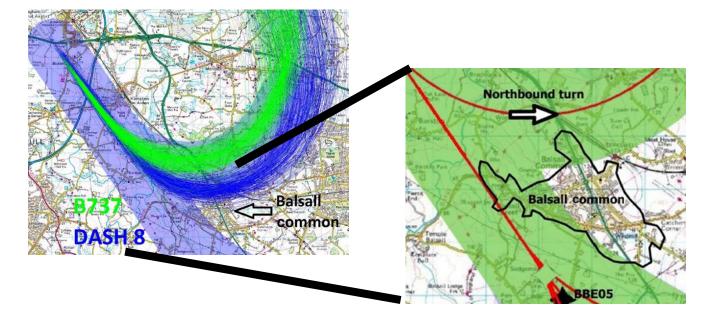
- Parameters for Aircraft aRea Navigation (RNAV)
 - True Airspeed (TAS)
 - Turn Radius
 - Minimum Stabilization distance (MSD)
- -Turning speed
- Protective zones
-) Waypioint Distance



D. Homola, J. Boril, V. Smrz, J. Leuchter, E. Blasch, "Aviation Noise-Pollution Mitigation through Redesign of Aircraft Departures," *Journal of Aircraft*, 56(5):1-13, Sept: 2019. <u>https://doi.org/10.2514/1.C035001</u>

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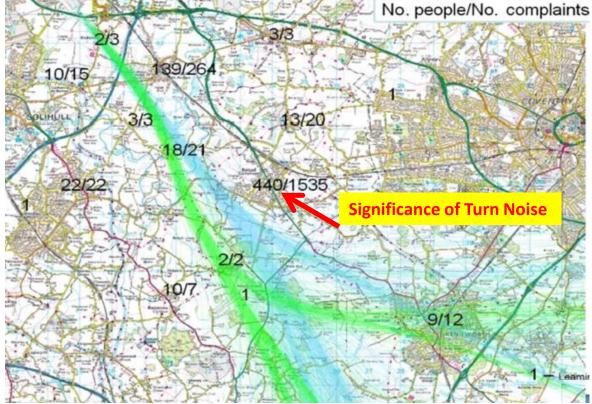
- Routes and Balsall City, UK data collected from ADS-B information
 - Two routes over city (city sprawl after airport built)



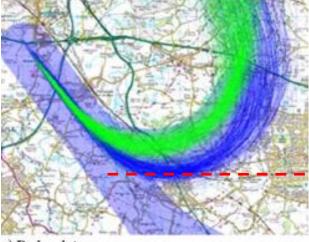
D. Homola, J. Boril, V. Smrz, J. Leuchter, E. Blasch, "Aviation Noise-Pollution Mitigation through Redesign of Aircraft Departures," *Journal of Aircraft*, 56(5):1-13, Sept: 2019. <u>https://doi.org/10.2514/1.C035001</u>

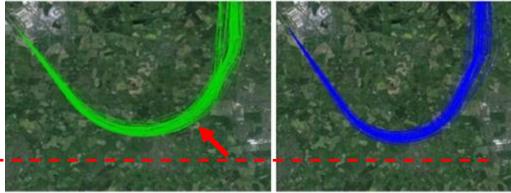
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- Noise Complaints
- More complaints at the turn when the aircraft is flying up and out



- Replanning the departure direction
- Move the turning curvature to the north (away from the complaints in Balsall)



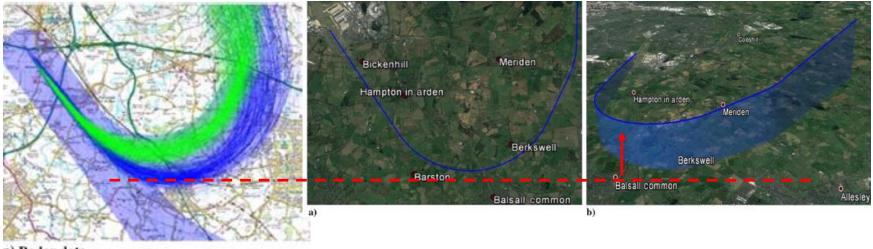


b) Simulated Boeing 737

c) Bombardier Dash 8

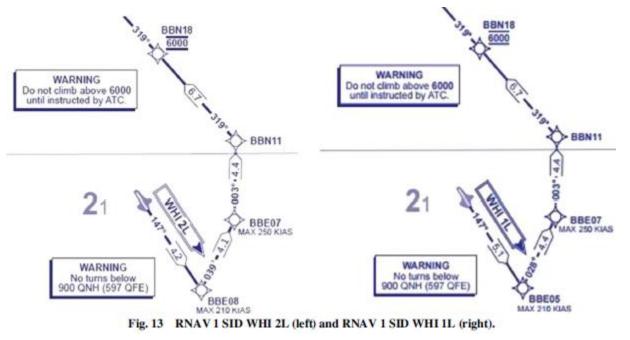
a) Radar data

- Replanning the departure height
- Move the turning curvature in altitude (away from the complaints in Balsall)



a) Radar data

- Replanning the departure RNAV standard departure route (SDR) chart
 - accounting for wind speeds, direction and spiral



Aircraft Noise – Regulation

- Monitor from society for future solutions
 - New cargo air routes, density, and aircraft types
- Regulation from ICOA, FAA, etc.
 - US <u>Code of Federal Regulations</u> (CFR) Title 14 Part 36
 - UAVs and electric aircraft solutions

ICAO Noise Standards^[27]

| Chapter | Year | Ch. 3 Margin | Types ^[28] |
|-----------------|---------------|-----------------|---|
| none | before | none | Boeing 707, Douglas DC-8 |
| 2 | 1972 | ~+16 dB | Boeing 727, McDonnell Douglas DC-9 |
| 3 | 1978 | baseline | Boeing 737 Classic, MD-80 |
| 4 (stage 4) | 2006 | -10 dB | Airbus A320, Boeing 737NG, Boeing 767, Boeing 747-400 |
| 14 (stage 5) | 2017– 2020 | -17 dB | Airbus A320, Airbus A320neo, Airbus A330, Airbus A350, A 757, Boeing 777, Boeing 787 |

Aircraft noise pollution - Wikipedia





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| 9. Concluding Remarks | All (14.20) |

Evolving Technologies and R&I Agenda

Aircraft Design & Operations

Weight reduction

- Lighter materials and structures
- Use of composites and new metals to reduce mass

✤ Aerodynamic gains

Novel aircraft design configurations and architectures (e.g., blended wing, box-wing, morphing wings, smart high-lift devices, boundary layer control)

Gaseous emissions reduction/offsetting

– Novel propulsion systems (e.g., geared turbofan, open rotor, distributed and hybrid propulsion)

Intinuous Descent Arrival (Idle Thrus

– Bio-fuels / Hydrogen / sustainable fuels

Noise mitigation

Flight paths / engines / aerodynamics







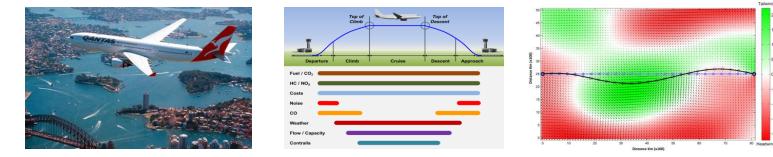
Aircraft Design & Operations

Energy gains

- **Evolution of aircraft systems** to increase fuel economy and reduce off-take from engine
- On-board fuel system control and management of fuel efficiently
- Optimal choice of hydraulic, electrics and bleed-air as a source of power for systems actuation

Operational gains

- Highly automated avionics systems connected with ground-based CNS/ATM systems (CNS+A)
- 4D trajectory optimisation and flow management. The future aircraft are "moving nodes" in a network with pilots and air traffic controllers providing high-level decision making
- Aircraft Health Monitoring Systems (AHMS), which use real-time data captured through various sensors integrated on aircraft parts to enhance operations/reliability and safety of the aircraft



Airport Technologies and Operations

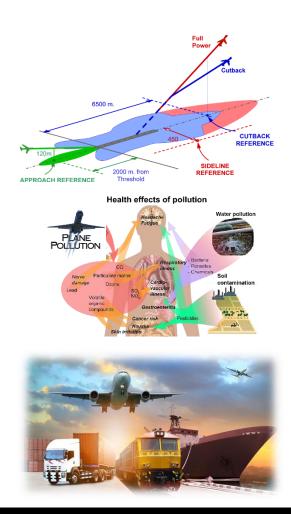
Ongoing Measures

- Airport-level noise mitigations
- Air quality and climate change mitigations
- Water quality and wildlife impact mitigations

Airport Multi-Modal Transformation

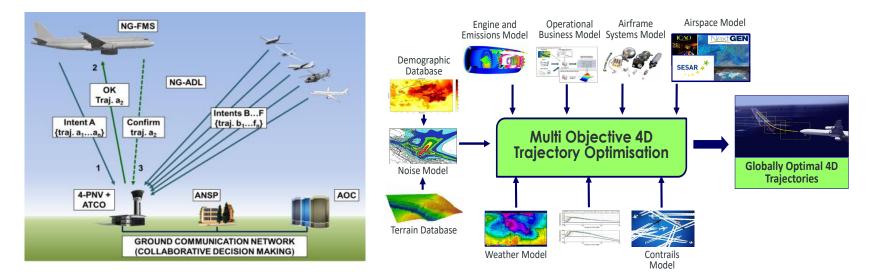
Maximising efficiency and sustainability by employing:

- Digital technology (intelligent automation)
- Interconnectivity with suburban/metropolitan rail
- High Speed Rail (HSR) connecting to farther cities
- Cargo interconnectivity (road, rail and maritime facilities)



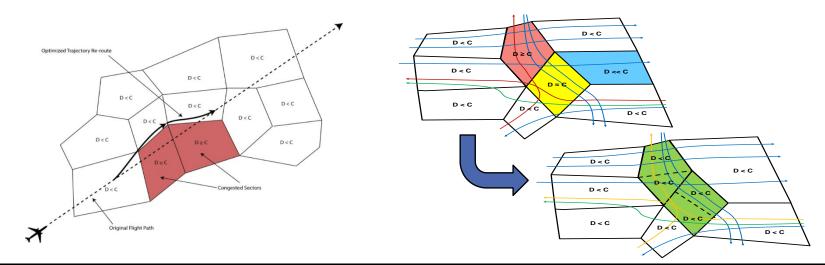
ATM Technologies

- Development of innovative ATM Decision Support Systems (DSS) to enable 4D-Trajectory (4DT) optimisation, negotiation and validation in the future ATM context
- 4DT Planning, Negotiation and Validation (4-PNV) in synergy with Next Generation of Flight Management Systems (NG-FMS)



ATM Technologies

- In the near term, ATM systems will automatically validate aircraft intents by implementing adequate separation assurance and time-based flow optimisation methods
- In the longer term, DSS will evolve to allow Dynamic Airspace Management (DAM) with morphing techniques (e.g., dynamic geo-fencing) also supporting UAS Traffic Management (UTM) and Urban Air Mobility (UAM) operations





Developing a Coherent R&I Agenda

11111111111

Fuel, Emissions and Noise Reduction Goals

| | ACARE – SRA and | NASA – ERA (wrt 1998) and SIP (wrt 2005) | | | | | | |
|---------------------------|-----------------|--|-------------|-------------|-------------|---------------------------|----------------|--------------|
| Subsonic A/C Emissions | Vision 2020 | FlightPath 2050 | ERA 2015 | ERA 2025 | ERA 2035 | SIP 2015-25 | SIP 2025-35 | SIP >2035 |
| Fuel/CO ₂ | 50% (38% 2015) | 75% | 50% | 50% | 60% | 40-50% | 50-60% | 60-80% |
| NO _x | 80% (2015) | 90% | 75% | 75% | 80% | 70-75% LTO* 60-70% CRZ | 80% | >80% |
| Noise | 50% (37% 2015) | 65% | 32dB | 42dB | 71dB | 22-32dB** | 32-42dB | 42-52dB |



ACARE - Advisory Council for Aviation R&I in Europe, SRA - Strategic Research Agenda, SRIA - Strategic Research and Innovation Agenda, ERA - Environmentally Responsible Aviation, SIP - Strategic Implementation Plan

A/C - Aircraft, LTO - Landing and Take/Off, CRZ - Cruise, *Below CAEP6, **Below Chapter 4. All % reductions are in Passenger-km

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Current R&I Priorities

Grand Challenge: Enhancing aviation environmental sustainability (along with efficiency, safety and security) in times of increasing air transport demand

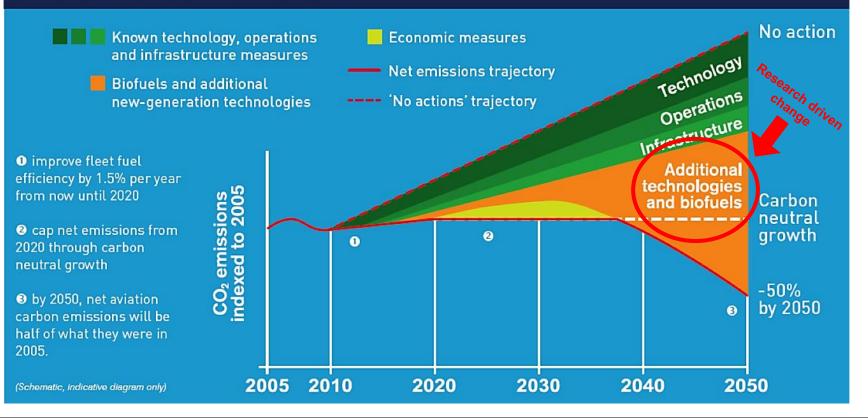
Priority Areas

- Development and rapid uptake of low emission technologies and alternative aviation fuels
- ATM Communications, Navigation, Surveillance (CNS) and Avionics (A) Systems (CNS+A)
- Cost-effective through-life support of new/ageing aircraft
- Airport efficiency/capacity and multi-modal transformation
- Automation/autonomy and cyber-physical security



Reverting the CO₂ emission trends

MAPPING OUT THE INDUSTRY COMMITMENTS



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R&I Areas of Focus

✤ Science

- Assessing aviation environmental impacts
- Forecasting, modelling and analysis

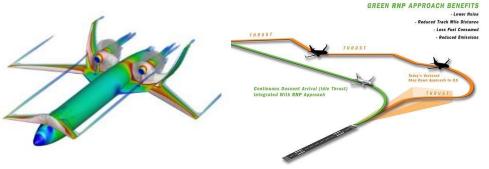
Technology and Operations

- Aerodynamics and propulsive technologies
- Flight operations, avionics and air traffic management
- Airport design, upgrade and operations
- Advanced manufacturing and logistics

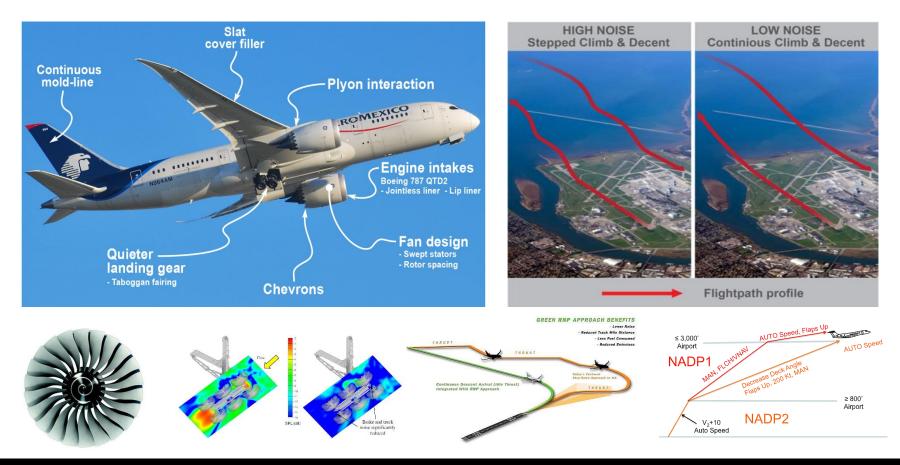
Policy and Regulations

- Sustainability policies for aviation
- Greenhouse/noise limiting standards
- Technology uptake and certification

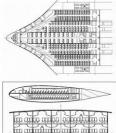




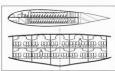
Aircraft Design & Operations



Blended Wing Body Aircraft



- Increased seating capacity
- Up to 800 per flight



- Reduced induced and parasite drag
- 27% reduction in fuel burn
- Various propulsive configurations
- Noise reduction due to fuselage obstruction



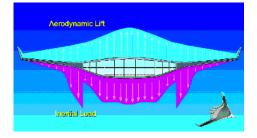
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Challenges include:

- Latero-directional stability and flight control systems design
- Distributed and hybrid propulsion system management
- Certification and airport compatibility
- Problematic spin characteristics
- Low-speed handling qualities
- Passenger satisfaction

- 20% increased L/D ratio
- More uniform lift distribution

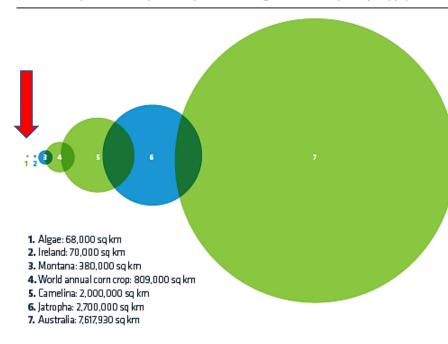


- Lighter structure (15% weight saving compared to current aircraft)
- Greater structural efficiency due to fuselage thickness
- Increase cargo and fuel capacity



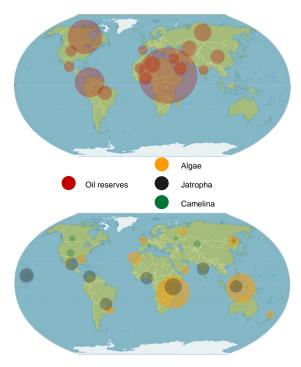
Aviation Bio-Fuels

Land area equivalents required to produce enough fuel to completely supply the aviation industry



These diagrams represent a conservative estimate of the amount of land that would be needed to completely replace the amount of traditional jet fuel currently used with just one of these sources (as well as a comparison with different land areas).

It is unlikely that aviation will rely on just one type of biofuel, so a combination of these and other sources will be used.



Airport Technologies and Operations

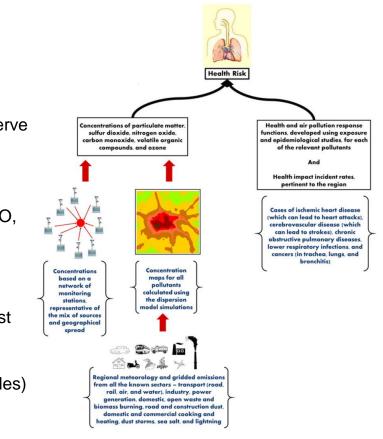
Air quality and climate change mitigations

Measuring air quality and assessing impacts:

- Concentration-Response Functions (CRFs), taking into account concentration levels and exposure times to observe health response (e.g., epidemiological studies)
- Air pollution sensors (ground/airborne) and emission models
- Computer models for emission and dispersion (e.g., ICAO, FAA, Eurocontrol and UK DoT)

Possible mitigations:

- Operational procedures (e.g., APU use limitations, restrictions on engine run-up for test, restrictions on thrust reverse)
- Airport authority policies (e.g., cleaner ground transportation, high-occupancy, hybrid and electric vehicles)
- Emission charges





Current and Emerging Social Challenges

1000000000

Industry Growth and Workforce Development

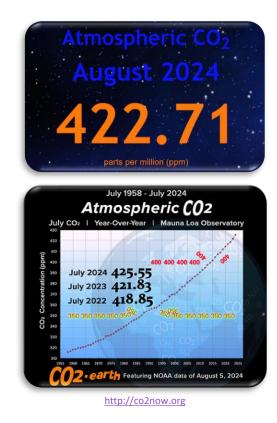
- The global aviation industry is projected to grow at a very fast pace in the coming decade
- Introduction of unmanned aircraft for various roles
- Inception of Urban Air Mobility (UAM) and Regional Air Mobility (RAM)
- Increased activity in the defense context and in the highspeed/suborbital space transport sector
- U-Space RDT&E and safe/effective regulations
- New operating environments, such as very low altitude airspace
- Integrated multi-sensor systems for autonomous vehicles (vision-based, RADAR, LiDAR, etc.)
- Education requirements on avionics systems continue to evolve at an impressive rate





Current Challenges

- Lack of political consensus on climate change mitigation measures. Several nations did not ratify the Kyoto Protocol (e.g., USA, Canada, Russia and New Zealand), which is now obsolete
- Most nations ratified the Paris Agreement, but there is debate about the effectiveness of the agreement, and the pledges made seem inadequate to achieve the set goal. The USA withdrew from the agreement in 2020 and rejoined in 2021
- The Jevons paradox is often used to support the argument that novel aviation technologies and operations will not be able to contribute "significantly" to reversing climate change
- In economics, the Jevons paradox is the proposition that technological progress that increases the efficiency by which a resource is used tends to increase (rather than decrease) the rate of consumption of that resource
- So improvements to engine/fuel efficiency, reflected by lower fares, will eventually produce not less emissions... but more!
- With this approach, most current efforts (from any unsustainable industry) would not be worthwhile. Why should we improve the thermal efficiency of our houses or the fuel consumption of our cars?



The Paris Agreement has a long-term temperature goal which is to keep the rise in global surface temperature to well below 2 °C (3.6 °F) above pre-industrial levels.

Emerging Challenges

- Lack of international cooperation and possible R&D funding reduction
- Diminishing returns on investment for non-disruptive technology solutions
- Reduced community engagement and influence of non-government organizations (e.g., "green" lobby groups)
- Effects of anti-globalization and separatism initiatives (e.g., trade wars)
- Evolving geopolitical challenges
 - Political instability and terrorism threats
 - Ongoing conflicts in the Middle East and Ukraine
 - South China Sea border disputes (including airspace)



Avionics Education and Training

Avionics System Design

- Design of Safety Critical Aerospace Systems
- Design of CNS/ATM Systems
- Avionics and Payload Integration
- Human Factors Engineering/Mission Systems

CNS/ATM Systems

- Aerospace Navigation and Guidance Systems
- Aircraft Communications and Networking
- Surveillance Systems and Tracking
- Filtering and Estimation Theory

Unmanned Aircraft Systems

- Autonomous Systems Guidance & Control
- UAS Traffic Management
- UAS Airspace Integration Technologies
- Alternative PNT Systems
- UAS Design, Test and Evaluation

Avionics Software and Cyber Security

- Software Design and Certification
- Avionics and CNS/ATM Cyber Security
- Cyber Security for Embedded Systems
- Software for IMA and Multi-Core Systems
- Agile and DevOps for Avionics Systems



I. Majid, R. Sabatini, K. A. Kramer, E. Blasch, G. Fasano, G. Andrews, C. Camargo, A. Roy, "Restructuring Avionics Engineering Curricula to Meet Contemporary Requirements and Future Challenges." IEEE Aerospace and Electronic Systems Magazine, Vol. 36, No. 4, pp. 46-58, April 2021.

R. Sabatini and A. Gardi (Editors), "Sustainable Aviation Technology and Operations: Research and Innovation Perspectives." Aerospace Series, John Wiley & Sons, Chichester, 2023

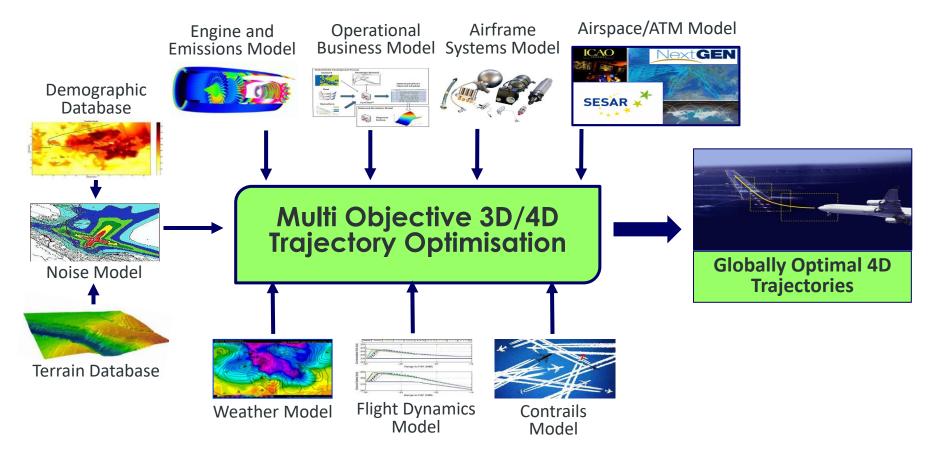
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ATM and Flight Management Systems

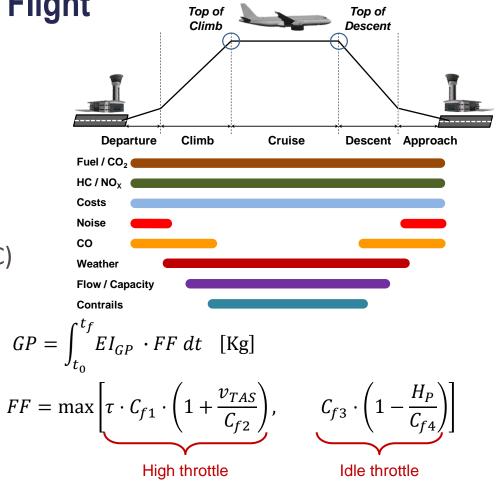
Multi-Objective Trajectory Optimisation Concept



Environmental Impacts in Flight

- ✤ Carbon Oxides (CO_x)
- ✤ Nitrogen Oxides (NO_X)
- Sulphur Oxides (SO_X)
- Unburned Hydro-Carbons (UHC)
- General Expressions:

(HBR Turbofan Engines)



MOTO-4D – ATM DSS/FMS Design Requirements

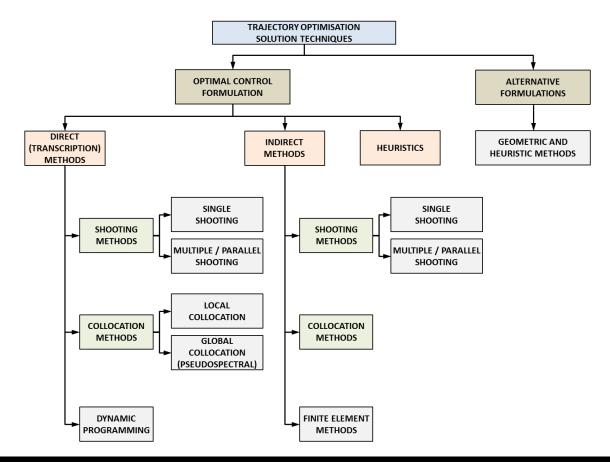
- Efficient optimisation and negotiation
 - Shared dynamic models
 - Synchronisation of states, constraints, optimality criteria and 4DT predictions

mathematical consistency of the 4D-TOP

- Standardised 4DT descriptors & transmission protocols/formats
- Intent prioritisation
- Fair scheduling principle
- global optimality

- Robust and efficient validation
 - Reliable conflict identification algorithms, assessing mandatory separation minima (vertical, horizontal and longitudinal) for both traffic and obstacles
 - Continuous assessment of path constraints fulfilment

Review of Solution Approaches



Optimal Control Formulation – Theoretical Framework

- Purpose: identify the trajectory that minimises a predefined performance index, subject to dynamics and path constraints, while adhering to boundary conditions
- Optimality criteria (cost function in the Bolza form):

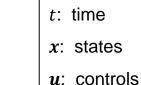
$$J_{i} = \int_{t_{0}}^{t_{f}} \mathcal{L}_{i}(\boldsymbol{x}(t), \boldsymbol{u}(t), t) dt + \Phi_{i}(\boldsymbol{x}(t_{f}), \boldsymbol{u}(t_{f}), t_{f})$$

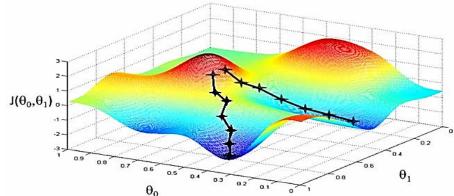
Lagrange term Mayer term

Lagrange term

- Dynamic constraints:
 - $\dot{\boldsymbol{x}} = f(\boldsymbol{x}, \boldsymbol{u}, t), t \in [t_0, t_f]$
- Path constraints:
 - $C_{min} \leq C[x(t), u(t), t; p] \leq C_{max}$
- Boundary conditions:

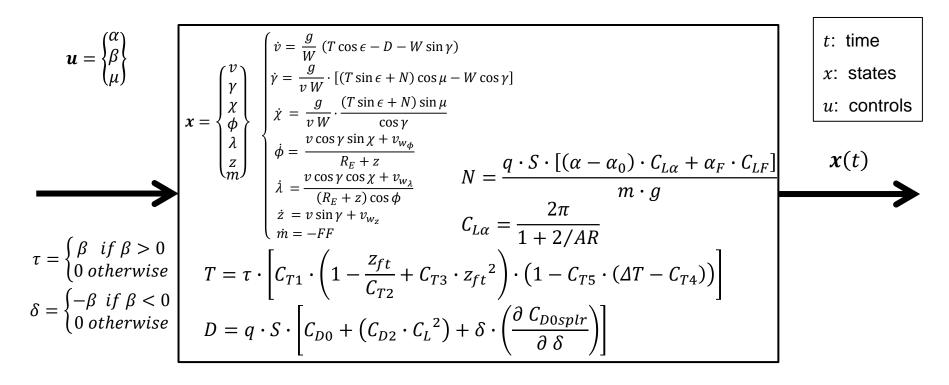
 $\boldsymbol{\Phi}_{\boldsymbol{min}} \leq \boldsymbol{\Phi} [\boldsymbol{x}(t_0), \boldsymbol{x}(t_f), \boldsymbol{u}(t_0), \boldsymbol{u}(t_f); \boldsymbol{p}] \leq \boldsymbol{\Phi}_{\boldsymbol{max}}$



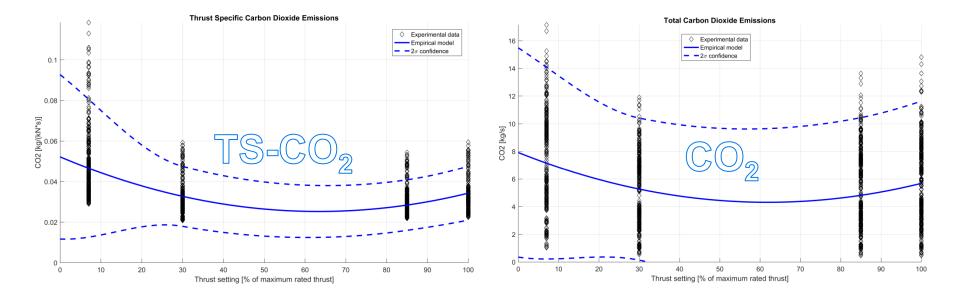


MOTO-4D – Aircraft Dynamics Model

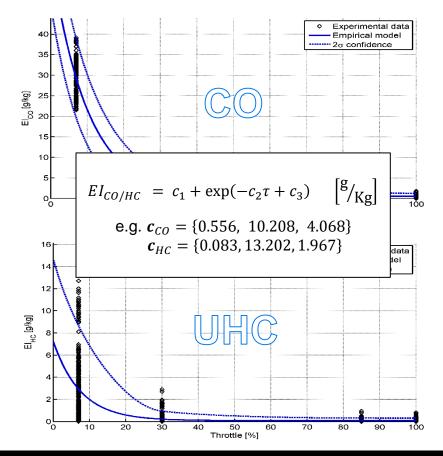
3 Degrees of Freedom (3DoF) Aircraft Dynamics



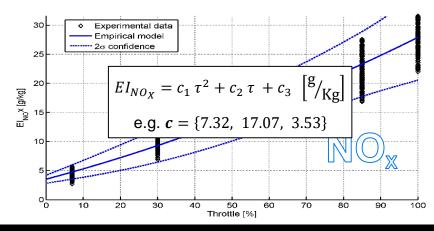
MOTO-4D – Greenhouse Emission Models



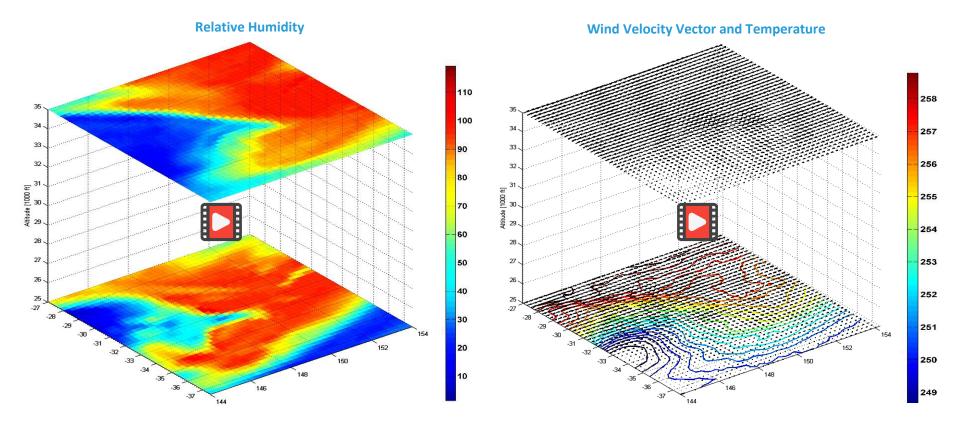
MOTO-4D – Pollutant Emission Models



- Emission models capturing dependence on throttle settings
- Aircraft-specific model coefficients
- 3DOF or 6DOF dynamics needed
- BADA models are adequate
- Can be applied as objective function to reduce emissions profile



MOTO-4D – Non-Standard Atmosphere and 4D Weather Model



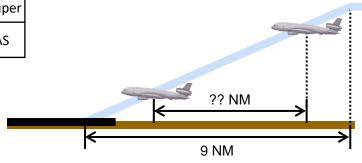
MOTO-4D – ATM Airport Capacity Model – Approach

Runway capacity model - arrivals

| | Light | Medium | Heavy/Super |
|-------------------|----------|----------|-------------|
| Approach Speed | 115 KIAS | 140 KIAS | 165 KIAS |

| L\T | Light | Med | Heavy | Super |
|-------|-------|------|--------|-------|
| Super | 8 NM | 7 NM | 6 NM | 3 NM |
| Heavy | 6 NM | 5 NM | 4 NM | 3 NM |
| Med | 5 NM | 3 NM | 2.5 NM | |
| Light | | | | |
| • | | | | |

✤ T_{i,j} = max [
$$\frac{r + s_{i,j}}{v_j} - \frac{r}{v_i}$$
, o_i] when $v_i > v_j$
✤ T_{i,j} = max [$\frac{s_{i,j}}{v_j}$, o_i] when $v_i \le v_j$



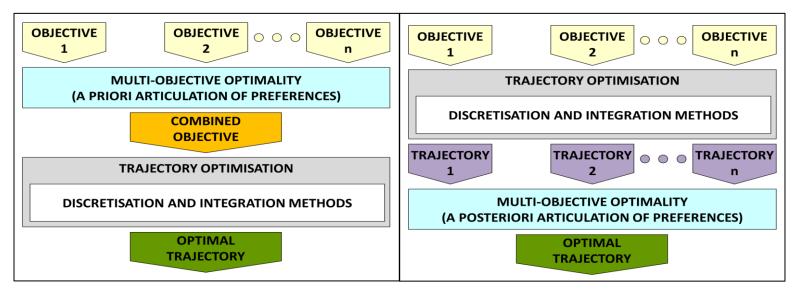
Wake turbulence + radar separation; reference: http://www.skybrary.aero/bookshelf/books/1166.pdf

| L\T | Light | Med | Heavy | Super |
|-------|--------|-------|-------|-------|
| Super | 336 s | 215 s | 131 s | 66 s |
| Heavy | 273 s | 164 s | 87 s | 66 s |
| Med | 207 s | 77 s | 60 s | 60 s |
| Light | 78.3 s | 60 s | 60 s | 60 s |

Ref. de Neufville & Odoni, Airport Systems - Planning, Design and Management, McGraw-Hill

MOTO-4D – Multi-Objective Optimality

Articulation of preferences: "a priori" versus "a posteriori"



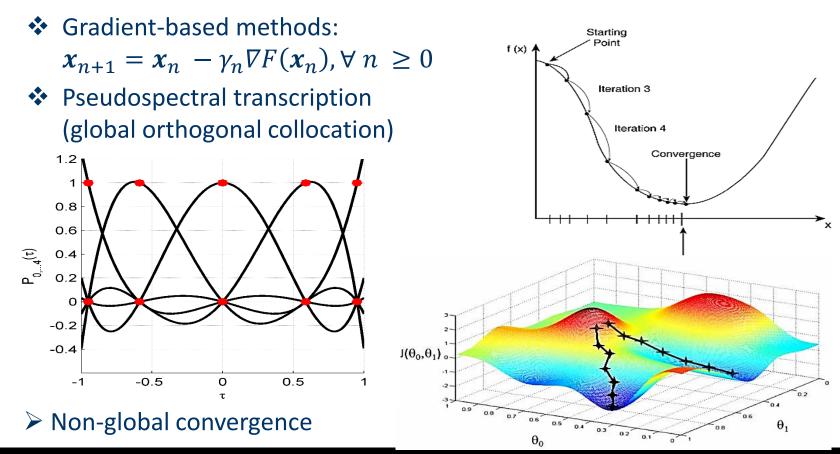
- Other possibilities:
 - Progressive articulation of preferences
 - No articulation of preferences

MOTO-4D – Cost Function (Weighted Product)

$$w_{time} + w_{fuel} + w_{CO} + w_{NO_X} = 6$$

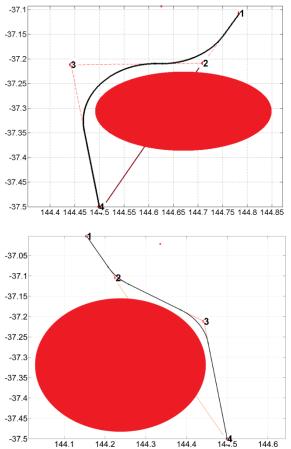
| Case | W _{time} | W _{fuel} | w _{co} | w _{NOX} |
|------|--------------------------|--------------------------|-----------------|-------------------------|
| 1 | 6 | 0 | 0 | 0 |
| 2 | 5 | 1 | 0 | 0 |
| | | | | |
| 73 | 0 | 0 | 0 | 6 |

MOTO-4D – Iterative Solution for Pseudospectral OCP



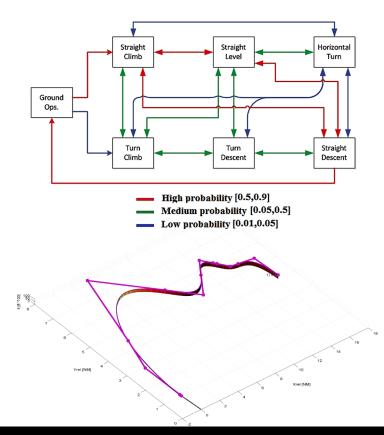
MOTO-4D – Operational 4DT Smoothing

- The mathematically Continuous and Piece-Wise Smooth (CPWS) optimal 4DT generated by the MOTO algorithm must be processed into a sequence of segments that are flyable by human pilots and conventional autopilots
- The set includes straight and level segments, straight climbs and descent segments, level turns, and climbing/descending turns (both with constant radius)
- The result is further processed to be translated in a flight path concisely described by standard 4DT descriptors (using either real or virtual waypoints), compliant with PBN/RNP standards

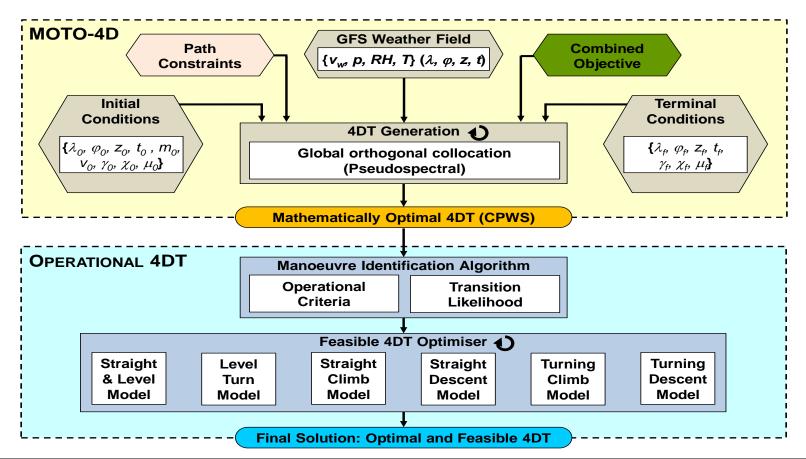


MOTO-4D – Manoeuvre Identification for 4DT Smoothing

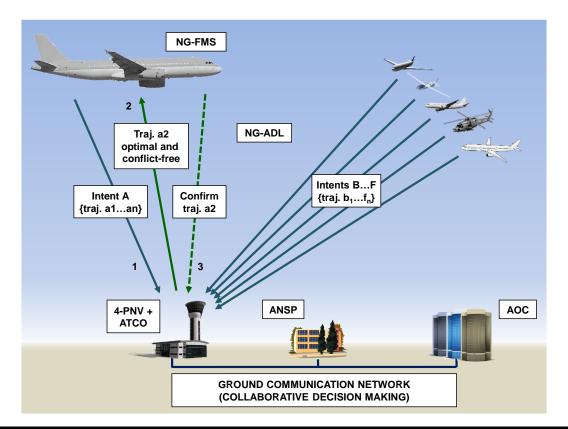
| Manœuvre Modes | | Identification Criteria | |
|-----------------------------|----------------------|---|--|
| GROUND OPERATIONS (GO) | | Weight on wheels | |
| STRAIGHT AND LEVEL (SL) | | No Pitch/Bank Constant Altitude/Heading | |
| HORIZONTAL TURN (HT) | | No Pitch/Bank, Constant Altitude, Heading Variation | |
| TURNING CLIMB (TC) | | Pitch up, Altitude increase, Nonzero Bank, Heading Variation | |
| STRAIGHT CLIMB (SC) | TAKE OFF | Positive FPA, No Bank, Flaps and/or Gear Down | |
| | STRAIGHT CLIMB | No Bank, Positive FPA | |
| TURNING DESCENT (TD) | CURVED APPROACH | Negative FPA, Nonzero Bank, Heading Variation, Flaps and/or Gear Down | |
| | TURNING DESCENT | Negative FPA, Nonzero Bank, Heading Variation | |
| STRAIGHT DESCENT (SD) | STRAIGHT APPROACH | No Bank, Constant Negative FPA, Constant Heading, Flaps and/or Landing Gear Down | |
| | STRAIGHT DESCENT | No Bank, Negative FPA, Constant Heading | |



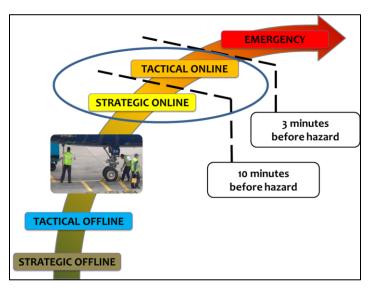
MOTO-4D – Online Tactical 4DT Planning Algorithm



System Implementation – 4-PNV Concept



4-PNV – Operational Timeframes



Strategic Online

- Suboptimal estimation due to long-term forecast
- More complex analysis and calculation algorithms due to the longer time available

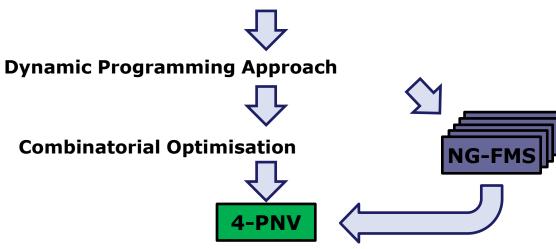
Tactical Online

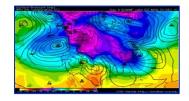
- ✓ Uncertainties have a very limited effect
- Limited time for the identification of a valid solution

4-PNV – Distributed MOTO-4D

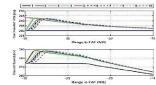
The traffic flow optimisation problem:

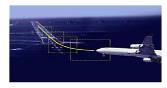
- Non-deterministic Polynomial Hard (NP-Hard)
- non-optimal substructure
- ✓ overlapping substructures



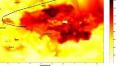


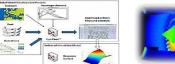








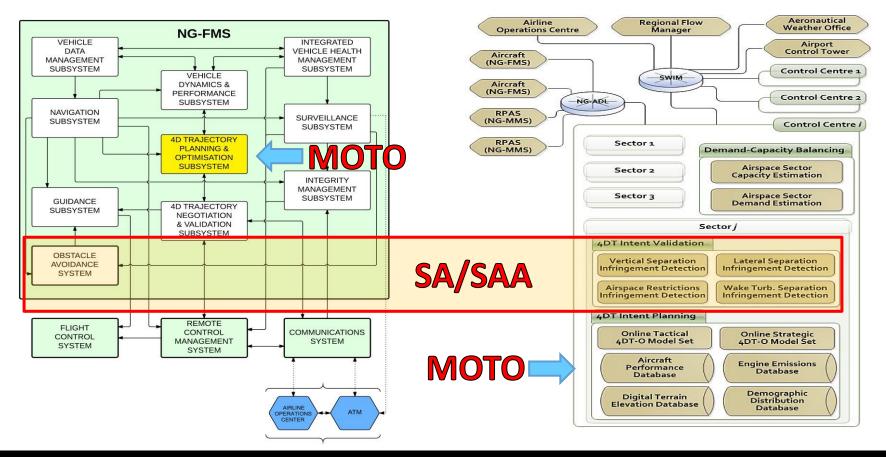








NG-FMS and 4-PNV (ATM DSS) Architecture



MOTO-4D for TMA – Preliminary Verification

Melbourne (RWY 16)

| Initial position | Allocated arrival |
|--|----------------------|
| S 38° 33' 15" E 144° 57' 30" 6851 ft | (1) 152 s |
| S 38° 33' 53" E 144° 58' 19" 5125 ft | (2) 242 s |
| S 38° 36' 37" E 144° 36' 58" 8511 ft | (3) 332 s |
| S 38° 30' 59" E 144° 33' 22" 8328 ft | (4) 422 s |
| S 38° 43' 8" E 144° 51' 2" 8916 ft | (5) 512 s |

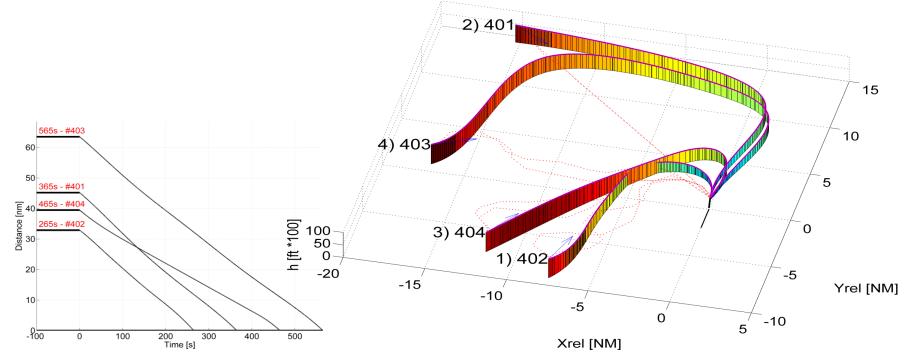


Negotiation/Validation of all intents in less than 180 sec (167 sec)

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MOTO-4D for TMA – Verification (Without 4DT Smoothing)

Optimal Sequencing of 4 arrivals using GNSS

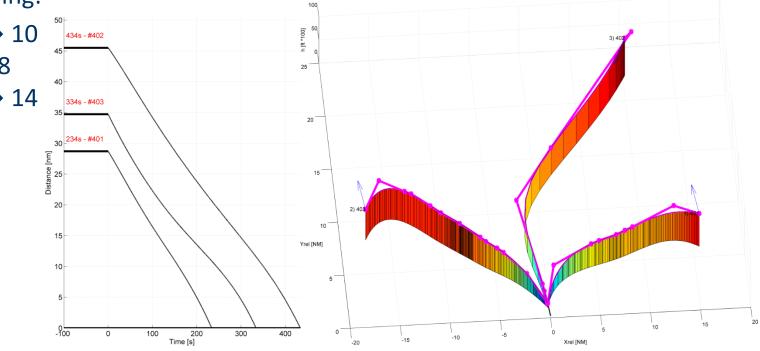


✤ 4 A/C TMA Negotiation/Validation Loops (<100 sec)</p>

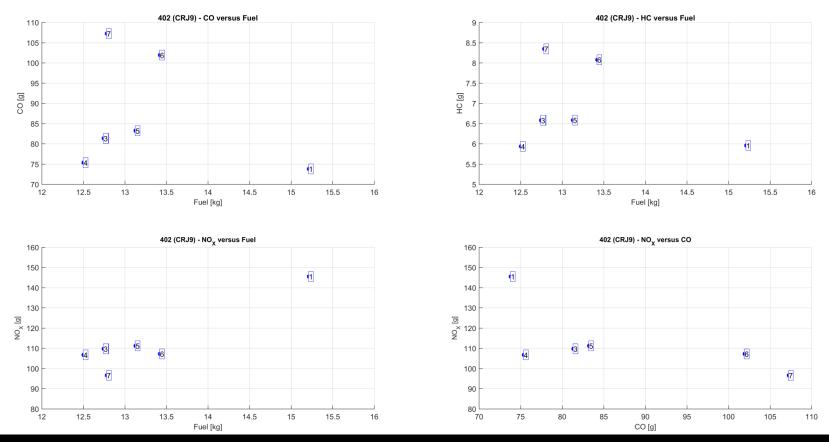
MOTO-4D for TMA – Verification (with 4DT Smoothing)

- Intents generated and assessed in 41 s (on average)
- Smoothing:

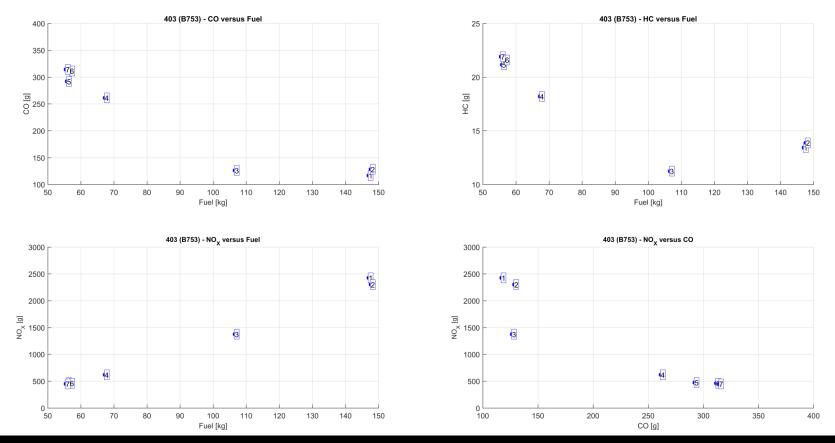
401: 145 → 10 402: 21 → 8 403: 208 → 14



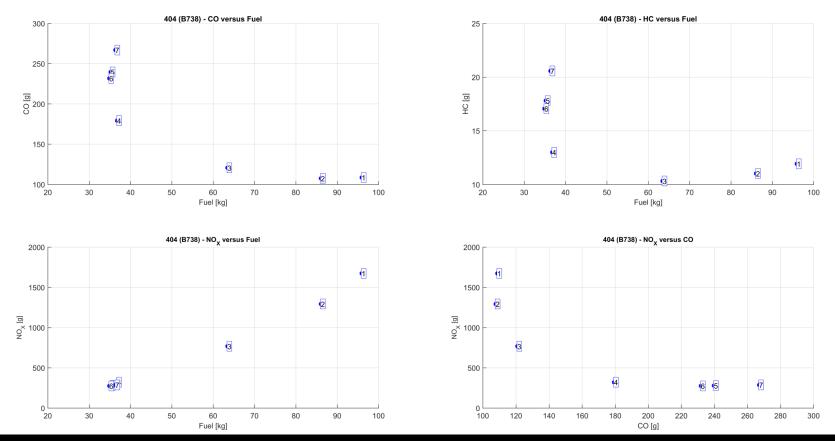
Environmental Optimality – Flight 402



Environmental Optimality – Flight 403



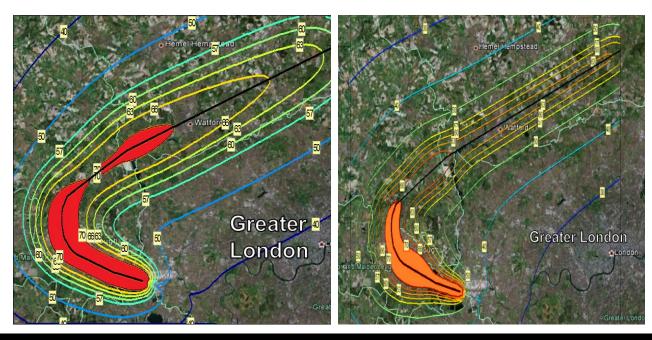
Environmental Optimality – Flight 404



MOTO-4D for TMA – Departure Case Study

Real commercial flight profile used as benchmark

- Departed at 19:27 GMT (night flight)
- Optimisation: Min Fuel and Noise (SEL 70dBA)

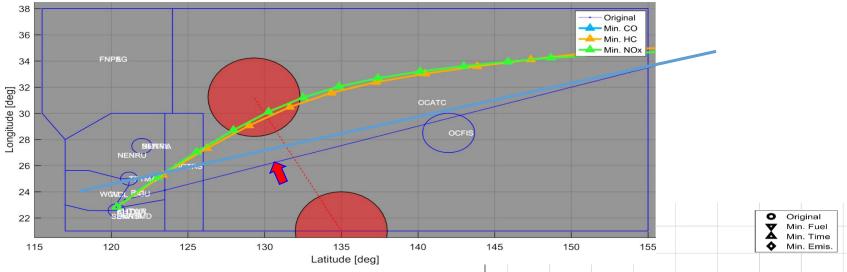




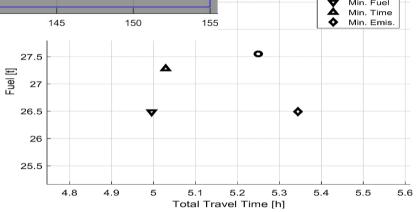
Optimised route for minimum noise indicates approx. **47%** smaller area of SEL 70 dBA (52.3 vs 100.5 km²)

- Real Flight: 635 kg fuel
- MOTO: 469 kg fuel

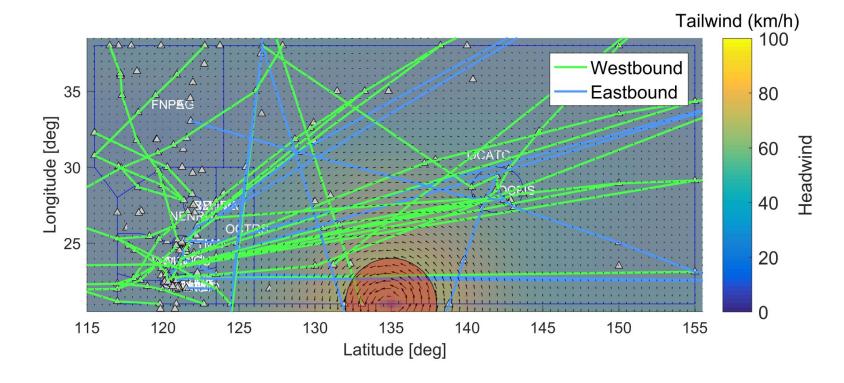
MOTO-4D – Enroute Context



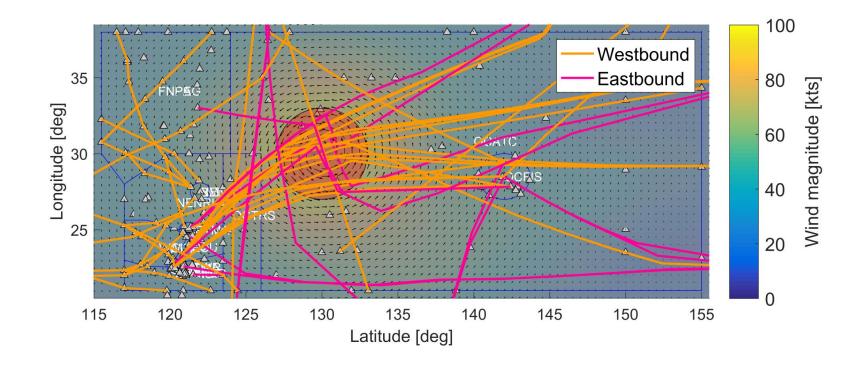
 Optimisation of enroute flights in terms of fuel, time and emissions, considering the 4D wind field and the avoidance of a tropical revolving storm



MOTO-4D – En-route Context

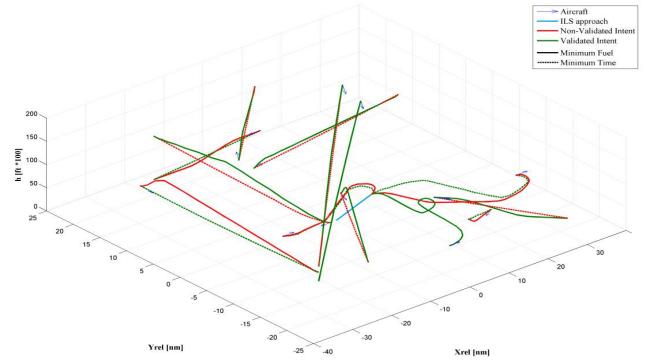


MOTO-4D – En-route Context

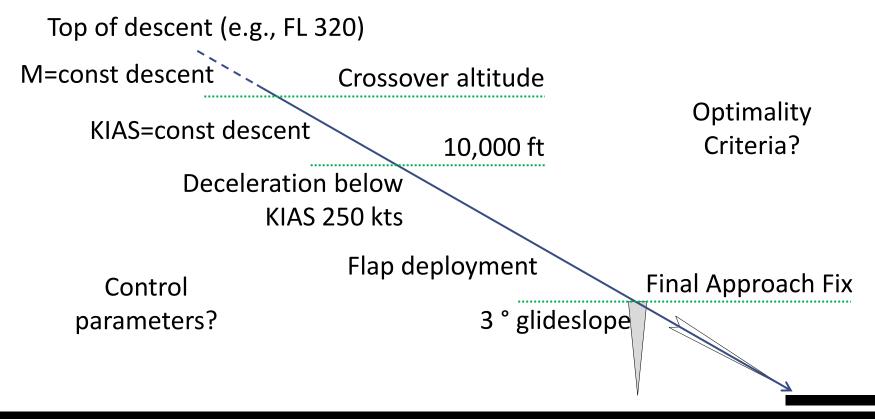


MOTO-4D for TMA – More Complex Scenarios

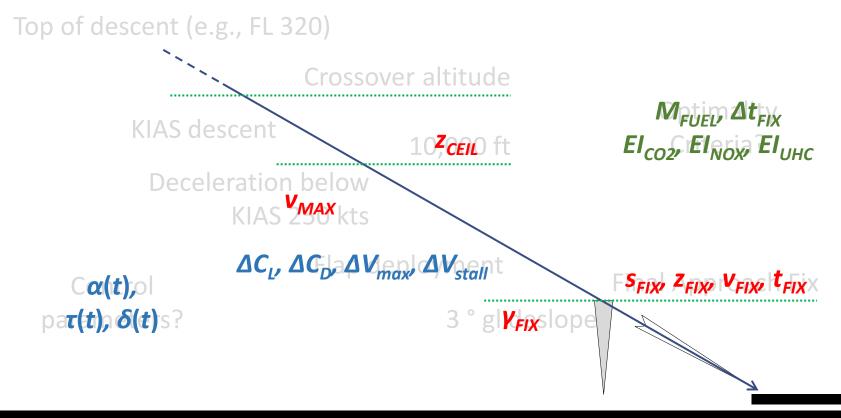
- Curved and Continuous Descent Approach Procedures
- ✤ 20 A/C TMA Negotiation/Validation Loops (<300 sec)</p>



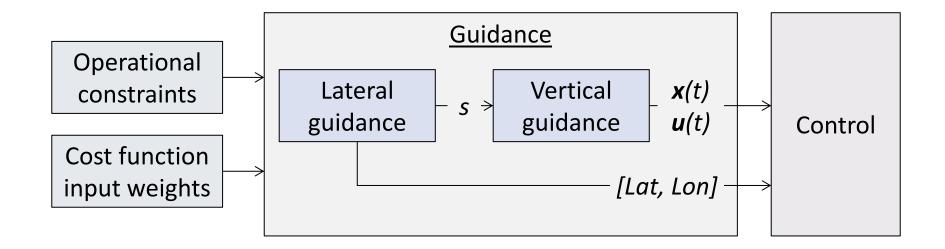
Time and Energy Management (TEMO) in Continuous Descent



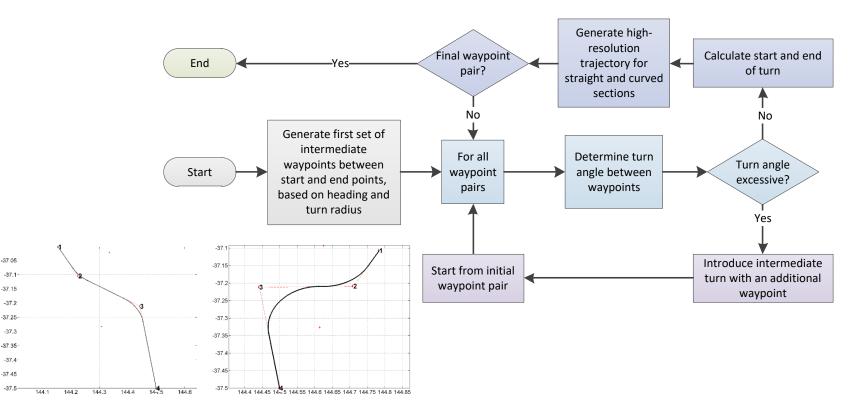
TEMO – Flight Guidance and Control Problem Formulation



TEMO – Flight Guidance Implementation

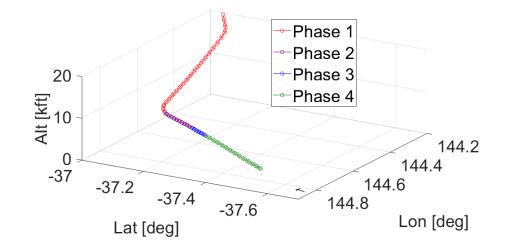


TEMO – Lateral Guidance Implementation

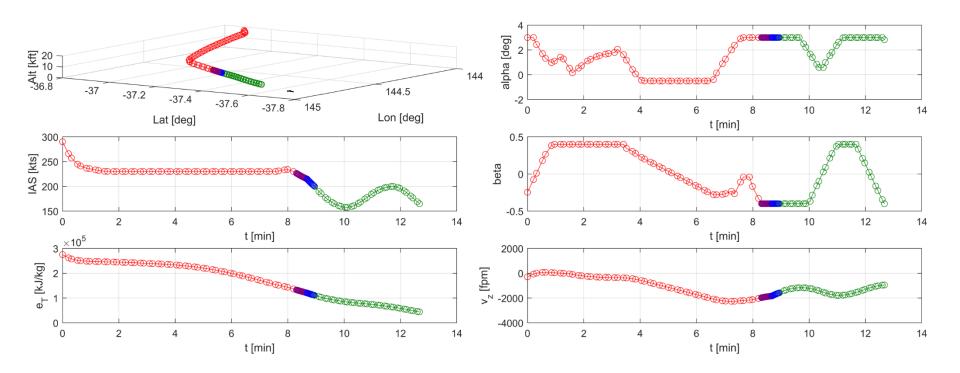


TEMO in CDA – Verification Case Study

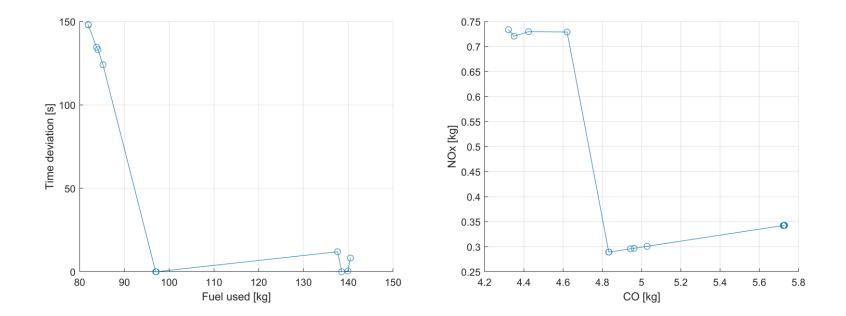
- Descent from 20,000 ft to Final Approach Fix (FAF) at Runway 16 of Tullamarine Airport (~57 NM; 12.5 minutes)
- ✤ 4 phases:
 - 1) Descent to 10,000 ft without regulatory airspeed constraints
 - 2) Descent below 10,000 ft at a maximum speed of 250 KIAS
 - 3) Further descent with flap configuration one
 - 4) Final descent up to FAF with flap configuration two



TEMO in CDA – Verification Results

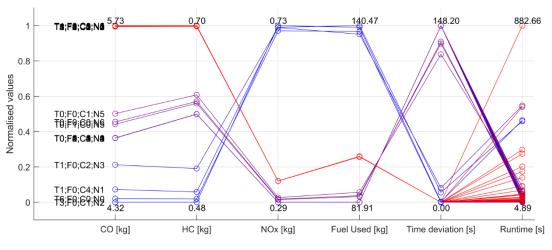


TEMO in CDA – Environmental Impacts



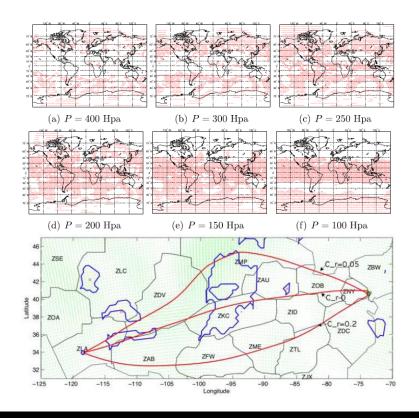
TEMO in CDA – Results

- ✤ CO: [4.32 kg 5.73 kg]; HC: [483 g 702 g]; NO_X: [289 g 734 g]
- ✤ Fuel: [81.2 kg 140.47 kg]; Time deviation: [0 s 148 s]
- ✤ Runtime: [4.90 s to 882.66s]
- CO and HC are inversely correlated with fuel use, while NO_x directly correlated
- Most cases converged to two solutions – "Min Fuel" and "Min Time Deviation"
- "Min Fuel" cases tend to reach the FAF in minimum time – idling the throttle appears to consume more fuel



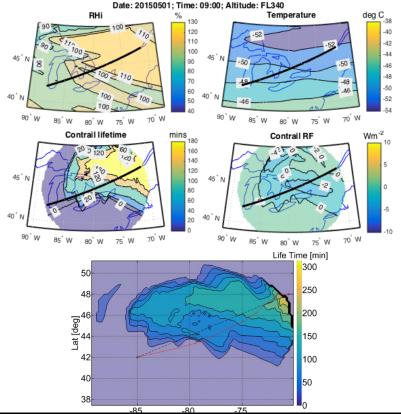
MOTO for Contrail Mitigation – Motivation

- Most of existing literature tackled the complete avoidance of large airspace regions entailing suitable conditions for contrail formation
- Limited evaluation of contrail persistence (lifetime LT)
- Very limited evaluation of the estimated Radiative Forcing (RF)
- Very limited assessment of the tradeoff between contrail-related RF and fuel burn related RF

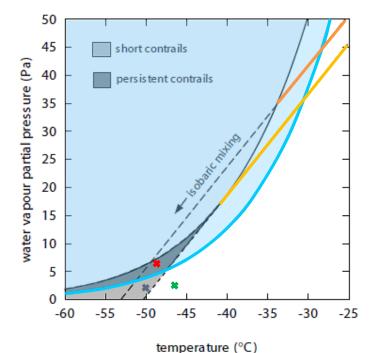


MOTO for Contrail Mitigation – Research Objectives

- Most of the existing literature employs simplified contrail models (often based on formation criteria) to designate regions that are consequently approximated as avoidance volumes of simple geometric shape
- This project aims at a more in-depth evaluation of the estimated environmental impacts and at the implementation in a multi-objective flight trajectory optimisation framework



- Contrails form at cruising altitudes in areas of low temperature and high relative humidity
- ✤ As a rule of thumb, temperatures of $T < -40^{\circ}$ C are required for formation
- The contrails grow and age by absorbing water vapour from ambient air
- Relative humidities with respect to ice of $RH_i > 0.8$ is required for the growth and persistence of the contrails





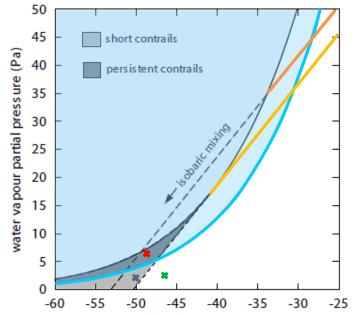
(Gierens et al 2008)

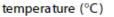
Jet phase

- Timescale of ~20 sec
- Governed by thermodynamics in the exhaust plume



- Cooling of exhaust plume follows a mixing line
- Condensation and freezing of water vapour
- Schmidt-Appleman diagram





(Gierens et al 2008)

Vortex phase

- Timescale of ~2 min
- Governed by the wake vortex
- Plume sinks
- Local increase in pressure
- Adiabatic warming
- Ice sublimation / losses



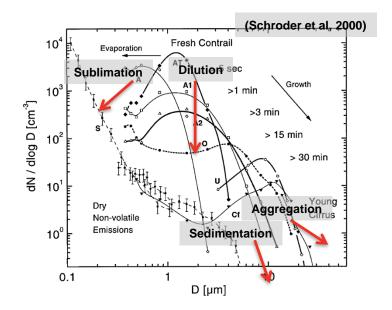
Dispersion phase

- Timescale of mins to hrs
- Persistence depends on ambient conditions
- Horizontal wind: advection
- Vertical updrafts: adiabatic cooling
- Wind shear: spread
- Ice super-saturation: growth
- Sedimentation and aggregation



Dispersion phase

- Timescale of mins to hrs
- Persistence depends on ambient conditions
- Horizontal wind: advection
- Vertical updrafts: adiabatic cooling
- Wind shear: spread
- Ice super-saturation: growth
- Sedimentation and aggregation



MOTO for Contrail Mitigation – Environmental Impact

Radiative Forcing (RF)

- Greenhouse effect: trapped longwave emissions
- Albedo effect: incident shortwave radiation
- Reflected shortwave radiation dependent on solar zenith angle
- Optical depth dependent on particle micro-properties

(Stuber et al 2006)

Shortwave

Longwave

1.00

0.50

0.00

u jenuus -0.50

-1.0

forcing (W m⁻²)

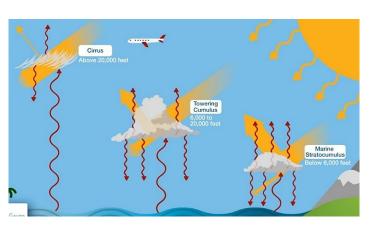
adiative

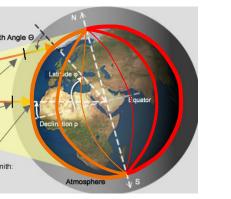
43rd DASC, San Diego, CA, USA, 29 Sept-3 Oct 2024



Net

Zenith Anale G in zenith tmosph

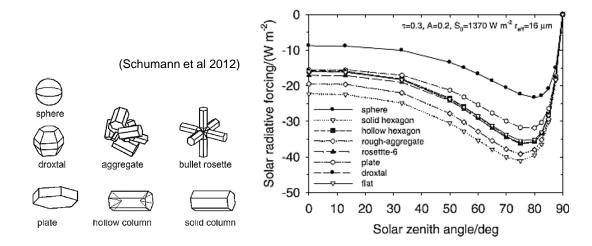




MOTO for Contrail Mitigation – Environmental Impact

Radiative Forcing (RF)

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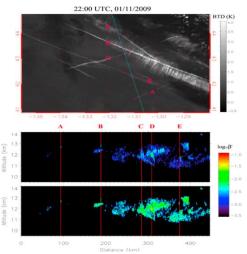


MOTO for Contrail Mitigation – Empirical Studies

- Voigt et al (2011) sampled contrails in the Contrail and Cirrus Experiment (CONCERT) campaign
- Iwabuchi et al (2012) tracked persistent contrails using a combination of:
 - MODIS Moderate Resolution Imaging Spectroradiometer
 - CALIOP Cloud-Aerosol Lidar with Orthogonal Polarization



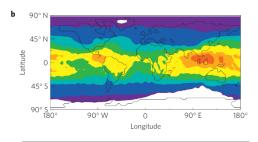
(Voigt et al 2011)

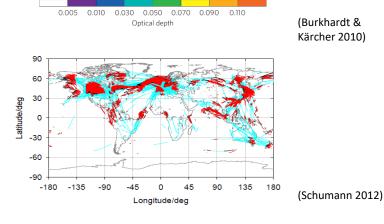


(Iwabuchi et al 2012)

MOTO for Contrail Mitigation – Computational Models

- Burkhardt & Kärcher (2010) developed a ** parametric model to simuluate contrailcirrus within a global climate model
 - European Center for medium-range * weather forecasts – Hamburg (ECHAM)
- Schumann (2012) developed a parametric ***** model to simulate the full contrail lifecycle as a result of global air traffic

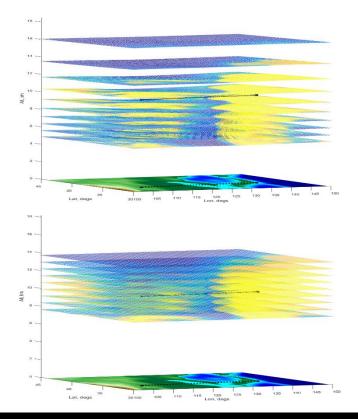




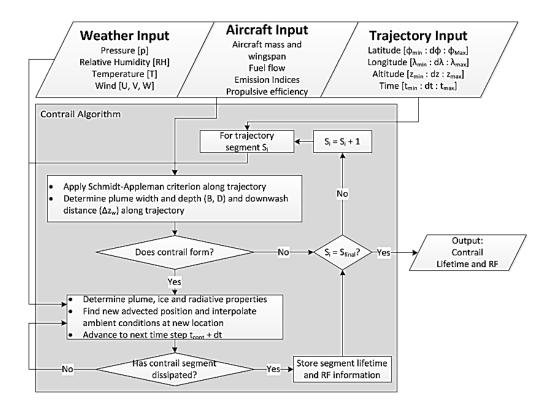
(Burkhardt & Kärcher 2010)

MOTO for Contrail Mitigation – Weather Model

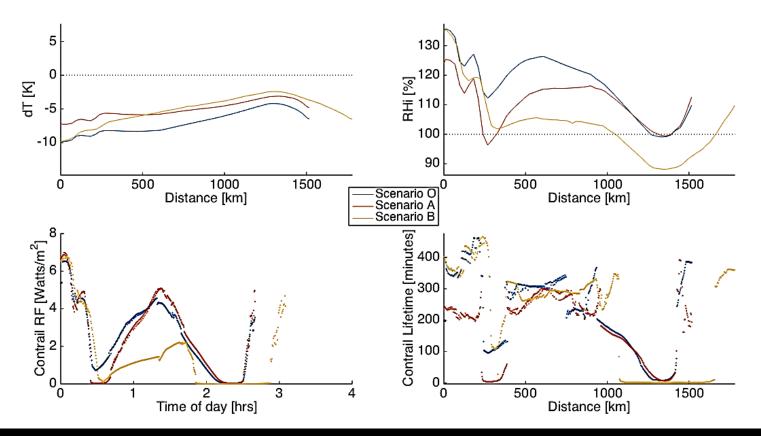
- Weather data is required to model the contrail life-cycle
 - Temperature, relative humidity, wind and wind shear, pressure
- Global Forecasting System (GFS) provides Numerical Weather Prediction (NWP) data
 - Model is run every 6-hours (four times a day)
 - Forecast is given in 3-hour intervals
 - 16 days of advance prediction is given at each run



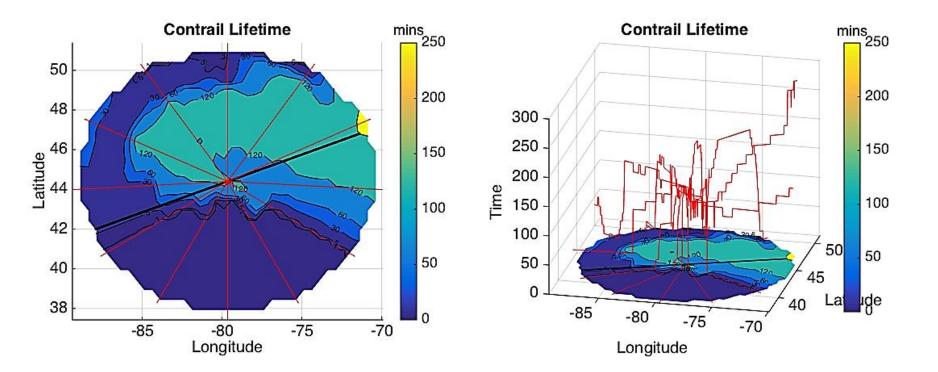
MOTO for Contrail Mitigation – Contrail Model



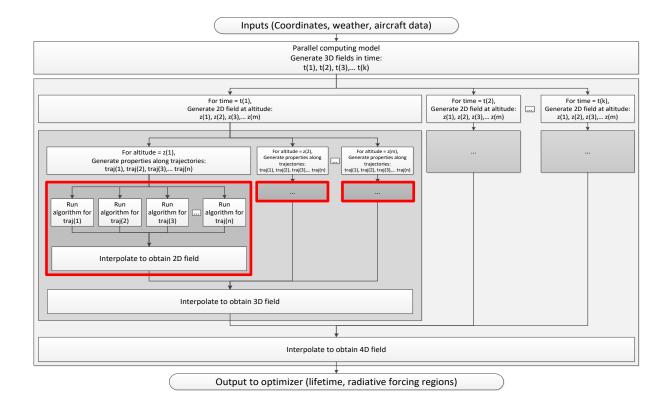
MOTO for Contrail Mitigation – Contrail Model Verification



MOTO for Contrail Mitigation – 2D Mapping



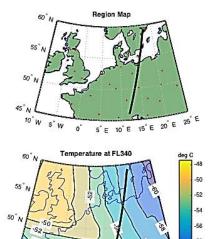
MOTO for Contrail Mitigation – Contrail Model



MOTO for Contrail Mitigation – Contrail Mapping Results

Case study 1: Europe

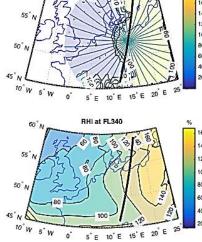
Stockholm to Venice Date: 11 Apr 2015 Flight time: 0000 to 0240



5 E 10 E 15 E 20 E

-60

25

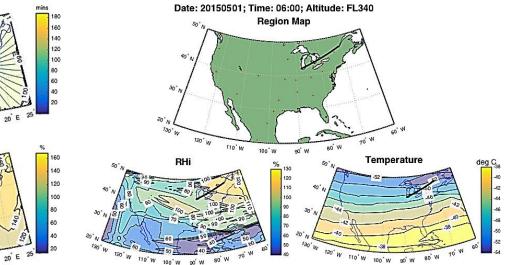


Contrail Lifetime at FL34

60°.

Case study 2: USA/Canada

Chicago to Quebec City Date: 1 May 2015 Flight time: 0600 to 0825

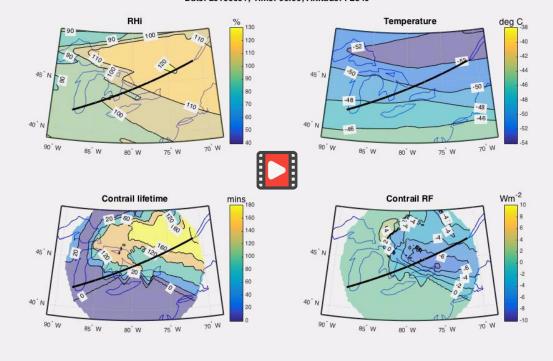


0

45° M

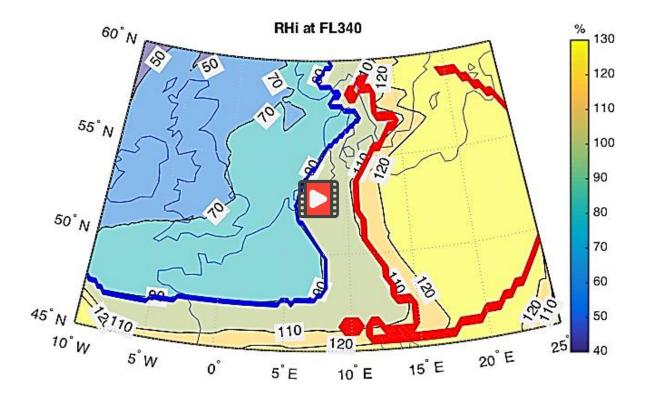
10°W 5'W

MOTO for Contrail Mitigation – 4D Mapping



Date: 20150501; Time: 06:00; Altitude: FL340

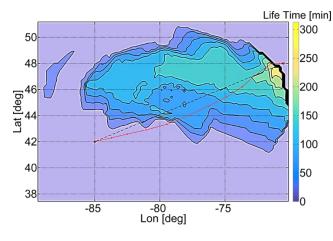
MOTO for Contrail Mitigation – Formation vs Persistence/RF



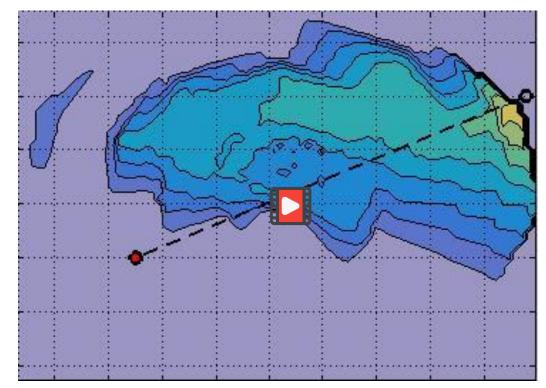
MOTO for Contrail Mitigation – 2D+Time Trajectory Optimisation

Minimisation of RF associated with persistent contrails and CO₂

- Optimised trajectory accounting for the contrail lifetime and TOD -

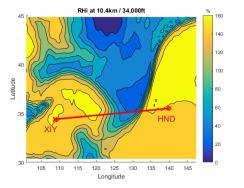


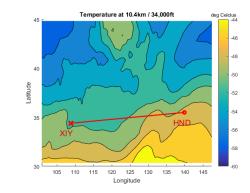
Airbus A320 – 765 NM – 2h 25 min

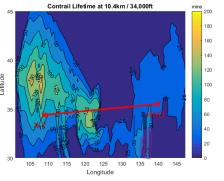


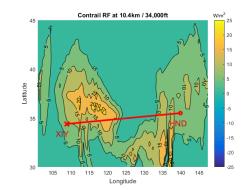
MOTO for Contrail Mitigation – Verification Case Study

- Tokyo HND to Xi'an XIY
- 13th April 2016
- Depart HND at 2100hrs (GMT+9)
- Cruise at constant altitude of FL340 (2D+t simulation)
- Highest RF regions do not necessarily correspond to highest lifetime regions
- Nor do they correspond to the highest RHi or lowest temperature regions





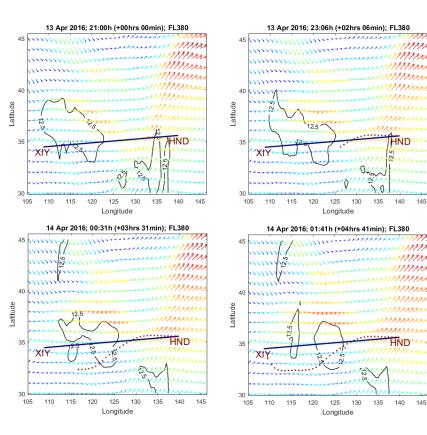




MOTO for Contrail Mitigation – Verification Case Study

- Optimised trajectory took into account:
 - Distanced-averaged RF: $J_{DARF} = w_{cont} \frac{\sum_{i=1}^{n} RF_i \cdot s_i}{\sum_{i=1}^{n} s_i}$
 - Wind-affected travel time

| | Original | Optimized | Change |
|----------|------------|------------|--------|
| Time | 5h 35 mins | 4h 42 mins | -15.8% |
| Distance | 3451 km | 3477 km | +0.8% |
| DARF | 10.1 W/m2 | 8.2 W/m2 | -18.8% |



m/s 70

65

60

55 50

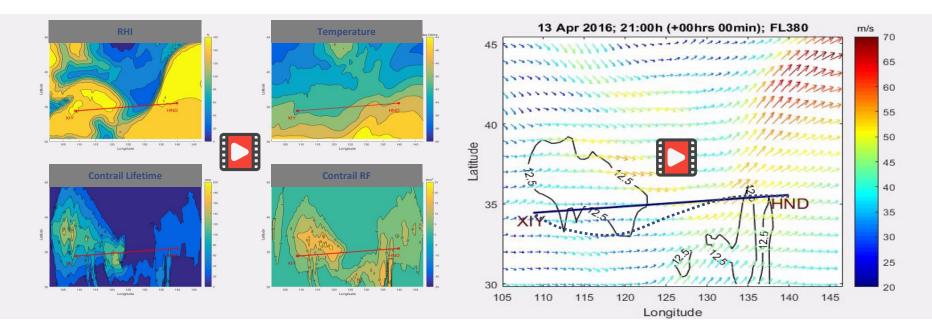
45

40

35 30

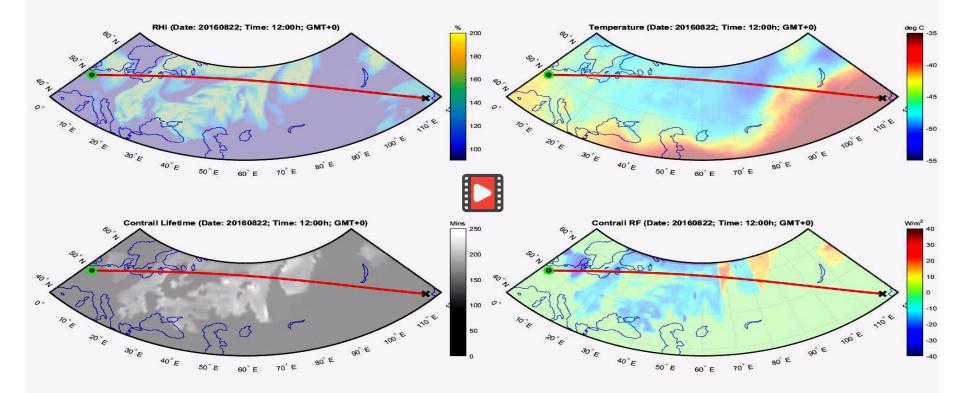
25 20

MOTO for Contrail Mitigation – Cruise (Tokyo to Xi'an)



Optimised trajectory for minimum CO₂/fuel and contrail RF

MOTO for Contrail Mitigation – Radiative Forcing



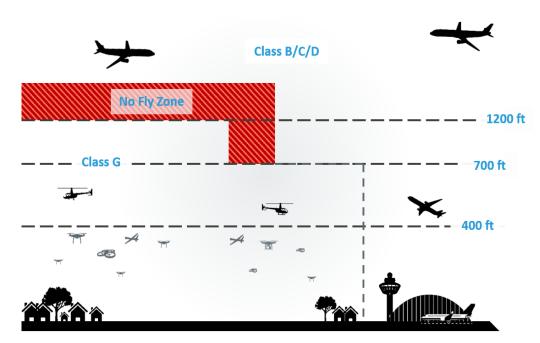
Boeing B777-200 4449 NM – 10h 24min flight time (CDG to PEK)



| 1. Introduction to the IEEE AESS Avionics Systems Panel | Rob (11:30) |
|---|---------------------|
| 2. Sustainable Aviation Challenges and Opportunities | Rob (11:50) |
| 3. Aviation Noise Impact Assessment and Mitigation | Erik (12:10) |
| 4. Evolving Technologies and R&I Agenda | Rob (12.30) |
| 5. ATM and Flight Management Systems | Alex (12.50) |
| 6. UTM, AAM and Trusted Automation | Alex (13.30) |
| 7. Recent Advances in Surveillance Systems | Giancarmine (13.50) |
| 8. High-Altitude and Sub-Orbital Flight Operations | Rob (14.10) |
| 9. Concluding Remarks | All (14.20) |

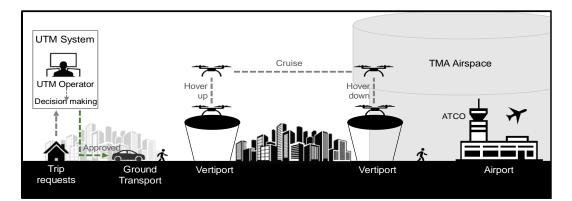
UTM, AAM and Trusted Automation

UAS Traffic Management (UTM) – Key Challenges



- The conventional human-intensive and tactical ATC paradigm cannot fulfil the needs of manned/UAS traffic integration
- A higher degree of automation is necessary in the UTM framework
- The tactical deconfliction approach of traditional ATM cannot be scaled down to apply in UTM

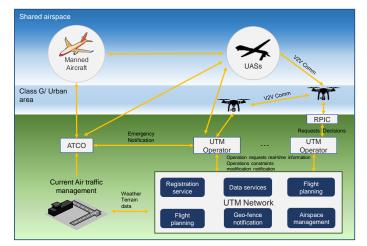
UTM and Advanced Air Mobility (AAM)



Advanced Air Mobility

A safe, automated air transportation system for passengers and cargo in urban and rural locations

- Regional Air Mobility (RAM)
- Urban Air Mobility (UAM)



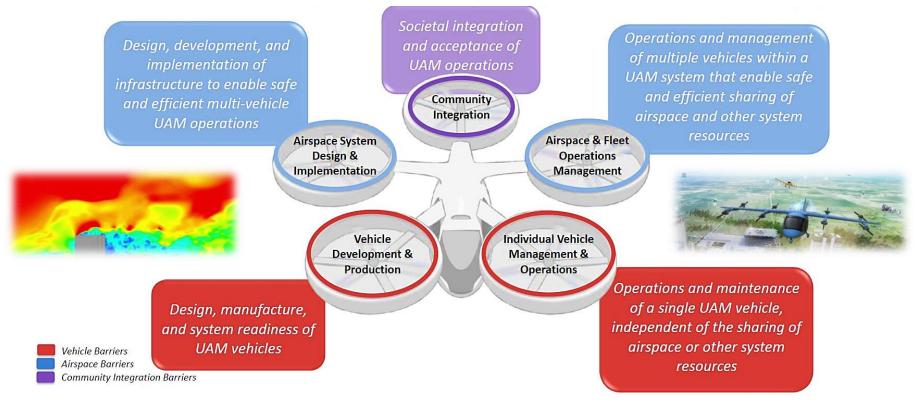
- UTM/AAM are moving towards trusted autonomy
- Highly automated human-in-the-loop operations bring about issues of responsibility allocation and mandate evolutions in the legal and regulatory frameworks (liability concerns)

The tasks and responsibilities of humans and Al agents in UTM/AAM are yet to be defined

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AAM Research Framework

Vision: Revolutionise mobility of metropolitan and regional areas by enabling a safe, efficient, convenient, affordable, and accessible air transportation system

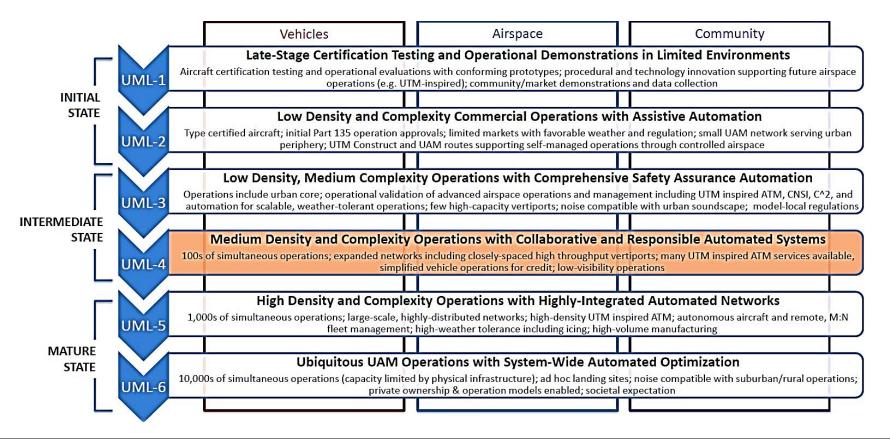


AAM/UAM Missions

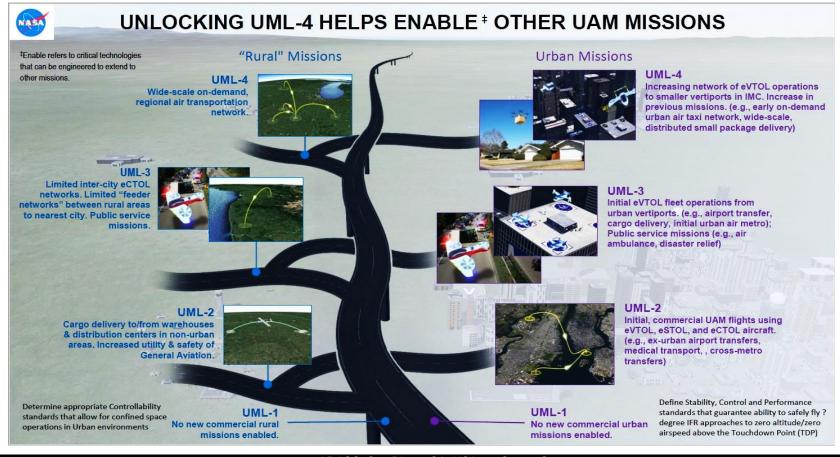
- Includes rural and urban applications
 - Cargo transport, aerial work, etc.
 - eVTOL, sUAS, hybridelectric etc.
 - UAM as a challenging usecase
- Enabled by electrification and scaled through automation
- Does not include:
 - Supersonic or hypersonic
 - Existing hub-and-spoke



NASA UAM Maturity Levels (UML)

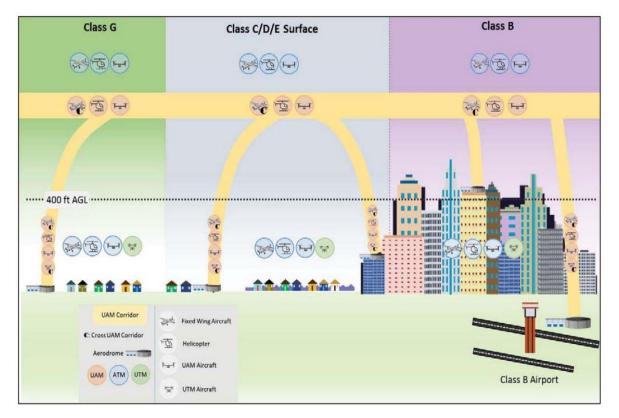


AAM/UAM Missions



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Evolutionary systems for UTM and AAM



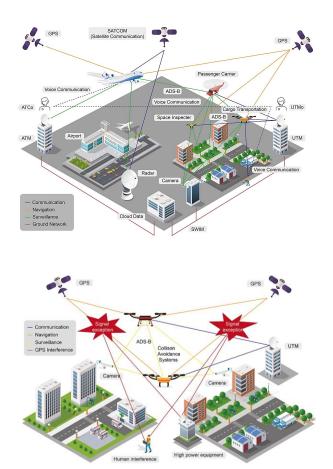
- Integrated CNS (ICNS) for the large amount of data/information that needs to be exchanged
- On-board vehicle situational awareness and sensors required
- Vertiport infrastructure
- Time-Based Flow Management
 - PBN and TBO
 - Sequencing and Spacing
 - Congestion Management
- Moving towards PBO
- Health/performance monitory systems (safety-critical)
- Microclimate sensor requirements
- Cyber-physical security

Evolving ATM Cyber-Landscape

- Over the last three decades, the "cyber-landscape" in ATM has evolved significantly, particularly in conjunction with:
 - a continuing migration from dedicated to general-purpose hardware, including PCs, rack servers, tablets, laptops and even personal mobile devices, to which a growing number of COTS wireless devices are connected (incl. headsets, input devices, printers etc.)
 - a continuing migration from a dedicated and largely domestic-only Aeronautical Fixed Telecommunication Networks (AFTN) towards **global IP-based connectivity**
 - the ongoing implementation of new SESAR and NextGen technologies, most of which are data-link-based or terrestrial IP-based technologies (incl. SWIM, VDL, 4D-TRAD, AeroMACS, A-CDM etc.)
- The future of ATM (and especially UTM and STM) involves substantially greater amounts of data exchanged in real-time across an increasing number of stakeholders

Key Challenges

- Evolving ATM & UTM architectures based on big data and AI plus increased interdependence of CNS/ATM and avionics systems result in an increasing attack surface
- AI-based offensive technologies are bound to become very common, requiring AI-based cyberdefenses
- Need for a new generation of security management systems and more efficient attack detection techniques



Cybersecurity Vulnerabilities in ATM and UTM

Communication

 HF/VHF voice, CPDLC, L-DACS, ACARS, SATCOM, Wireless communication networks

Navigation

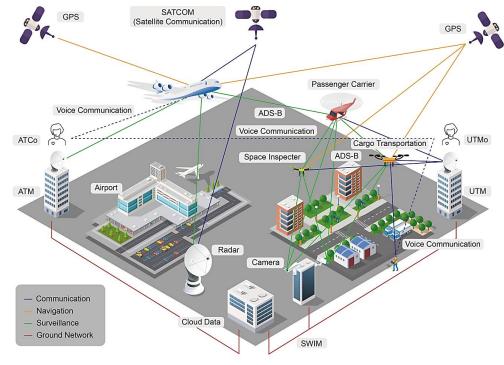
- TRNAs, GNSS
- Surveillance
 - PSR, SSR, WAM, TACS, ADS-B

Ground Network

• SWIM

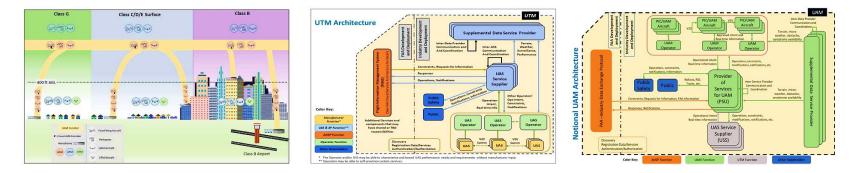
Y. Xie, A. Gardi, and R. Sabatini, "Cybersecurity Trends in Low-Altitude Air Traffic Management", AIAA/IEEE Digital Avionics Systems Conference, DASC 2022.

Y. Xie, A. Gardi, and R. Sabatini, "Cybersecurity Risks and Threats in Avionics and Autonomous Systems." 8th IEEE Cyber Science and Technology Congress (CyberSciTech 2023), Abu Dhabi, UAE, November 2023.



Future Challenges

- Develop a CONOPS for Low-Altitude Airspace Management (LAAM) encapsulating UTM and emerging AAM requirements, which clearly specifies the human role for various levels of automation
- Develop new DSS functionalities to enhance human-machine teaming. Current focus is on performance-based airspace modeling and dynamic airspace management
- Develop an integrated approach to Multi-Domain Traffic Management (long-term)

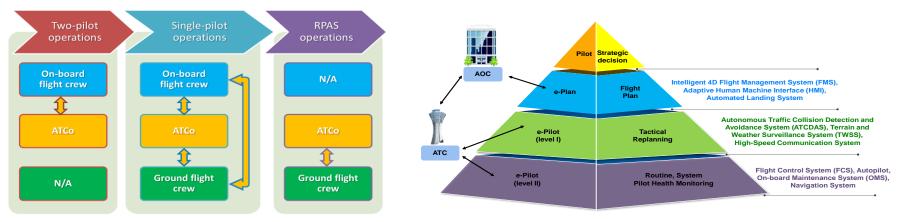




Trusted Automation Research: Focus on SiPD and RPAS

SiPO to RPAS in Conventional Airspace

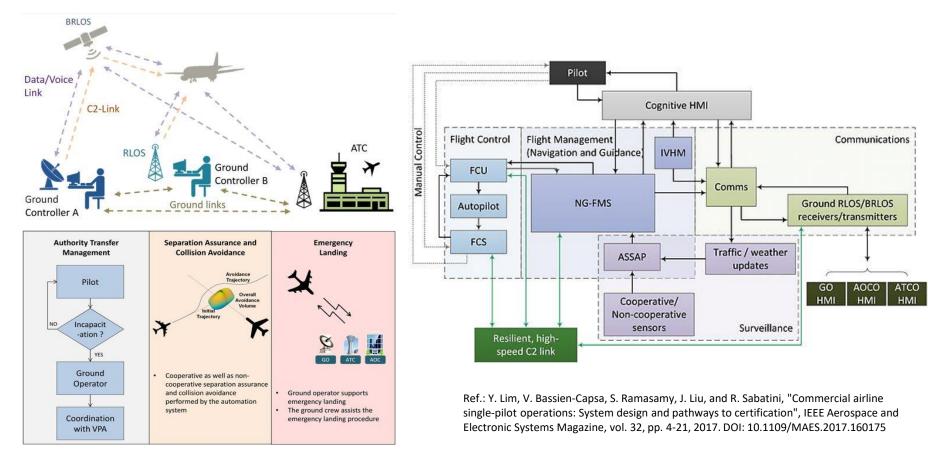
- Improve the total system performance through highly automated CNS+A systems supporting human-machine teaming
- Adaptive Human-Machine Interfaces and Interactions (HMI2) based on:
 - Real-time avionics systems integrity monitoring
 - Sensing of neuro-physiological parameters and AI-based estimation of cognitive states



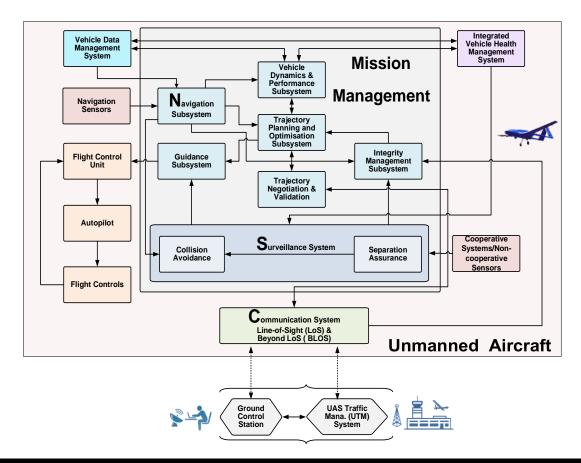
Ref.: Y. Lim, V. Bassien-Capsa, S. Ramasamy, J. Liu, and R. Sabatini, "Commercial airline single-pilot operations: System design and pathways to certification", IEEE Aerospace and Electronic Systems Magazine, vol. 32, pp. 4-21, 2017. DOI: 10.1109/MAES.2017.160175

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SiPO Avionics Architecture

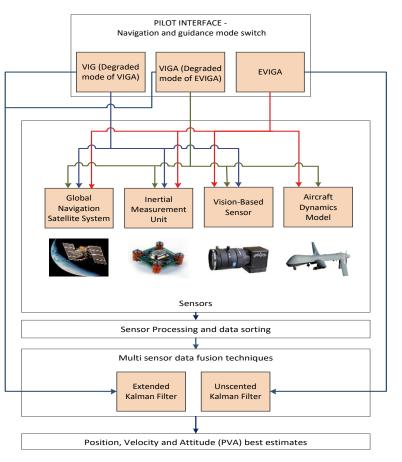


UAS Avionics Developments



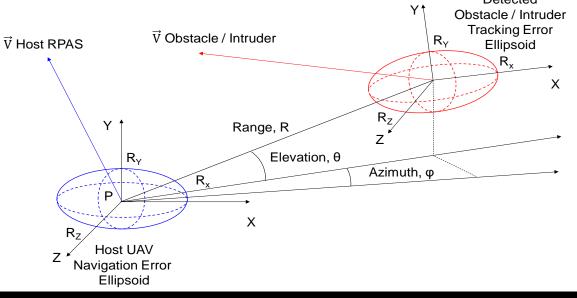
Low SWAP-C Navigation Systems

| Data | Position | Velocity | Attitude Angles | Attitude Rates |
|------|----------|----------|--------------------|-------------------|
| IMU | | > | > | |
| GNSS | | | | |
| ADM | - | | > | - |
| VBS | | | | - |



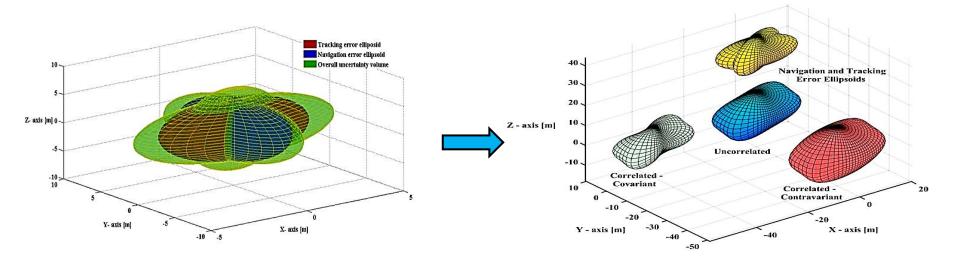
Separation Assurance and Collison Avoidance

- Avoidance volume in the airspace surrounding each track is determined
- Accomplished by considering both navigation and tracking errors affecting the measurements (plus disturbances) and translating them to unified range and bearing uncertainty descriptors, which apply both to cooperative and non-cooperative scenarios
 Detected

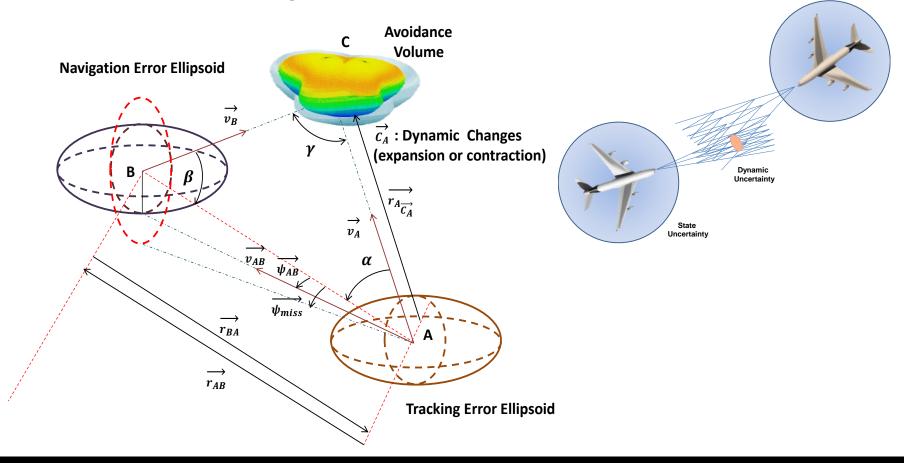


SA/CA – Error Analysis

- Errors are statistically correlated (e.g., ADS-B) or uncorrelated (e.g., NC-SAA)
- The avoidance (uncertainty) volume for uncorrelated measurements is obtained by inflating the navigation ellipsoid with the tracking error components
- The uncertainty volume for correlated errors is obtained using vector analysis

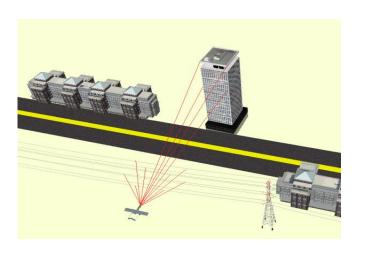


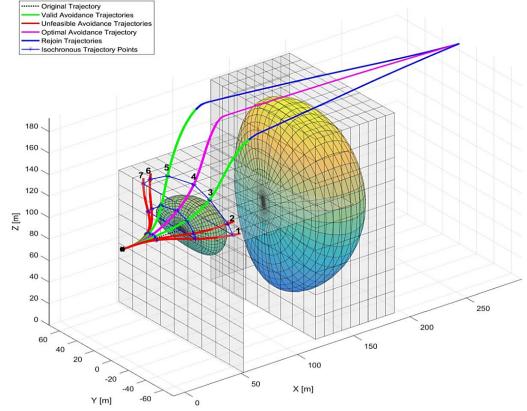
SA/CA – Relative Dynamics and Inflations



SA/CA – Safety-critical Applications

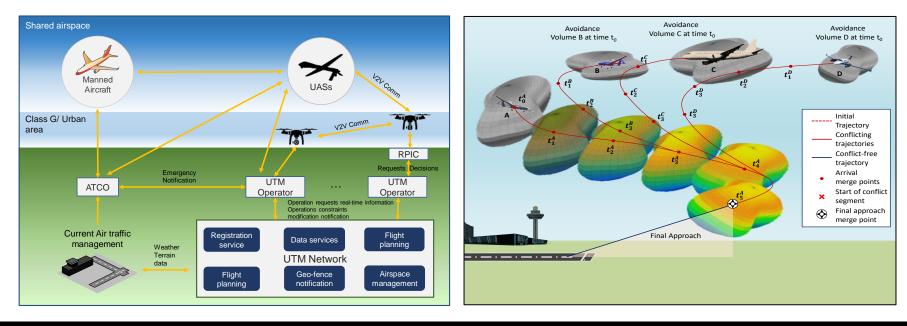
- Uncertainty volumes for avoidance of ground obstacles
 - A set of feasible avoidance trajectories is generated in real-time





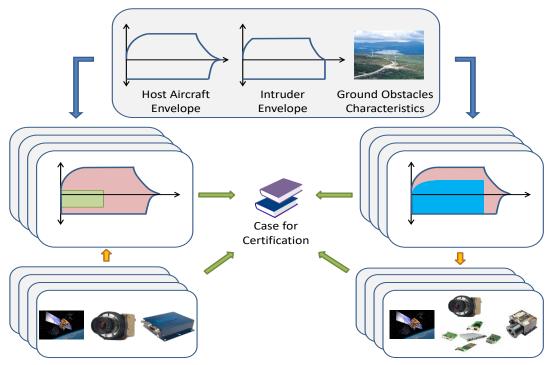
UAS Traffic Management

- The unified approach supports trusted autonomous operations in the UAS Traffic Management (UTM) context
- Avoidance volumes (i.e., dynamic geo-fences) are generated in real-time to allow computation of the optimal avoidance flight trajectories



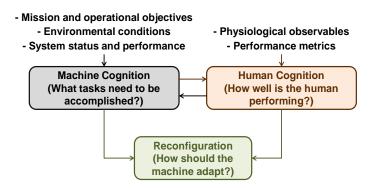
SA/CA – Pathway to Certification

 Distinctive advantage: ability to determine the safe-to-fly UAS envelope based on the on-board sensors and alternatively to identify the required sensors in order to achieve a certain predefined safety envelope



Human-Machine Interfaces and Interactions

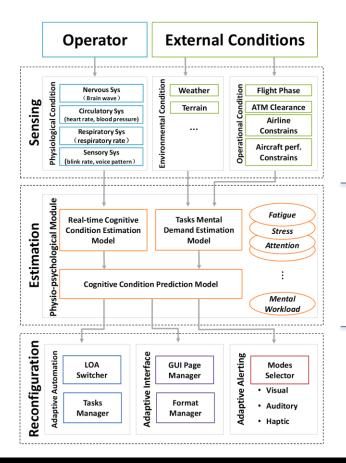
- This project addresses the development of closed-loop human-machine systems implementing Cognitive Human Machine Interfaces and Interactions (CHMI²)
- CHMI² supports human-machine teaming whereby a system senses and adapts to the mission environment and the cognitive state of the operator



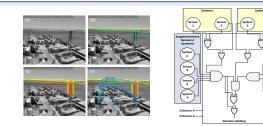
The CHMI² concept enables <u>Trusted Autonomous Operations</u> in both mission-critical and safety-critical applications

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CHMI² Framework







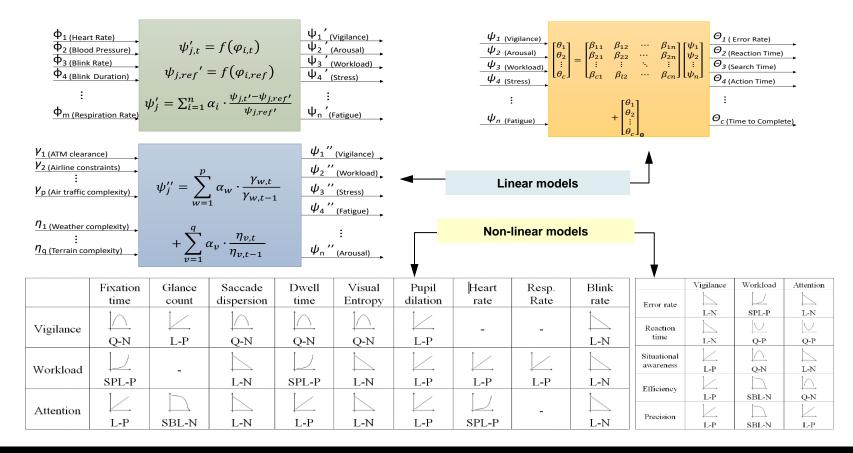
Sensing: uses a suite of sensors to measure neuro-physiological observables in real time, and extracts relevant features from the observables

Inference: infers cognitive states from the features in the sensing layer using various artificial intelligence (and machine learning) techniques

Adaptation: module drives the HMI² based on inferred cognitive states and key mission performance metrics

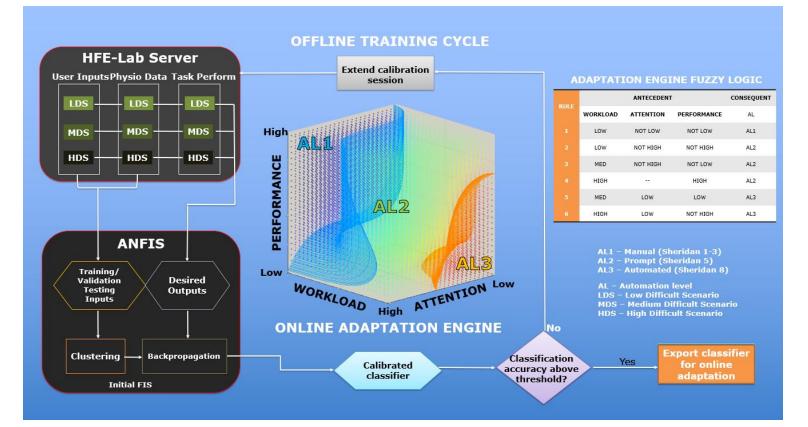
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CHMI² Mathematical Framework



Inference Engine

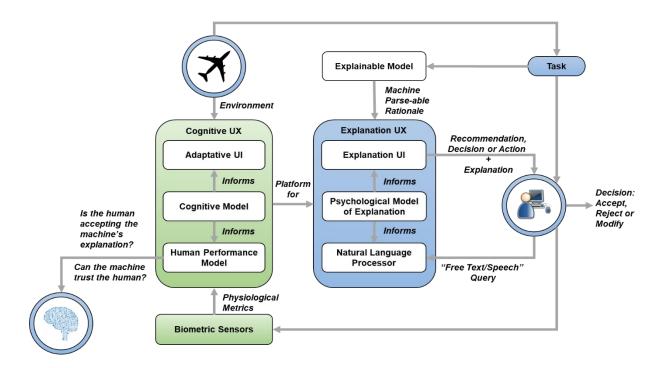
Cognitive Adaptation Methodology (Summary View)



Challenges for AI Certification in Aviation

- A core premise of AI is learning, where the system learns and adapts its behavior to achieve the optimum, desired outcome
 - The AI system response for a given set of excitations in a given environment are not necessarily the same (i.e., deterministic, unique and predictive)
 - In AI System response, there is always a delta error from the target response
 - An AI System learns from every encounter to reduce & optimize the error delta
- For aviation systems, the regulator expectation is that for every scenario, i.e., a set of excitations in a given environment, the expected system response MUST be the same
 - The safety of life risks and liabilities associated with an uncertain outcome is too large for aviation
- An approach for AI standards and certification could be to provide an acceptable error tolerance for each expected system response
 - Need to have high confidence (10⁻⁶ to 10⁻⁹) or lower probability that response will be outside the tolerance)
 - Standards MUST also define a fail-safe option, to mitigate unexpected AI system behavior

Current Research: Cognitive HMI and Explanation UX



- Explainable AI
- Trusted AI

•

Certifiable Al

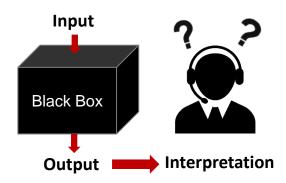
Current Research: HOTL Dynamic Interactions

Challenges

Solution

Higher level of automation in an out-of-loop paradigm

- Lower cognitive capability
- Progressive deskilling
- Lower situational awareness





Adaptive HMI based on Explainable and Trusted AI

AI Explanation

Cognitive Human-Machine Systems (CHMS)

Human Factors

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| 1. Introduction to the IEEE AESS Avionics Systems Panel | Rob (11:30) |
|---|---------------------|
| 2. Sustainable Aviation Challenges and Opportunities | Rob (11:50) |
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| 4. Evolving Technologies and R&I Agenda | Rob (12.30) |
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| 9. Concluding Remarks | All (14.20) |

Recent Advances in Surveillance Systems

Autonomy and CNS/ATM Technologies for Sustainability

- Advanced autonomy can indirectly enhance aviation sustainability in different operation scenarios, ranging from conventional ATM to the new UAM/AAM ecosystem
- CNS/Traffic Management technologies as key enablers

Autonomy and CNS/ATM Technologies for UAM and AAM

- UAM and AAM: a new and disruptive dimension for aviation, potentially enabling mobility of goods and people at a different scale compared with current operations
- UAM/AAM can be considered as a part of the aviation de-carbonization strategy, with sustainability as a priority
- Autonomy supported by CNS/ATM technologies has emerged as a key technological tool to realize the UAM/AAM vision



https://www.nasa.gov/mission/aam/

Autonomy and CNS/ATM Technologies for UAM and AAM

- Autonomy as a pre-requisite to scale up operations while keeping the required levels of efficiency, safety, and security
- High density scenarios involving Beyond Visual Line of Sight (BVLOS) operations: need to move towards adaptive M-to-N scenarios where aircraft are highly autonomous and the role of human is more and more connected to the concept of supervision and fleet management
- Reliable autonomous **navigation** is needed to ensure safe and secure operations in spite of the many potential issues affecting navigation sources in urban environments
- Autonomy is also required within the traffic management architecture, where the role and functions of human controllers in traditional ATM systems cannot be extended and need to be conceived in a different perspective, with intelligent path planning approaches to be exploited both at strategic and at tactical level.
- Furthermore, autonomy is also a key element for surveillance and for detect and avoid systems which have to represent the last protection layer against the possible losses of separation with ground and air obstacles

Recent Trends in Surveillance Systems

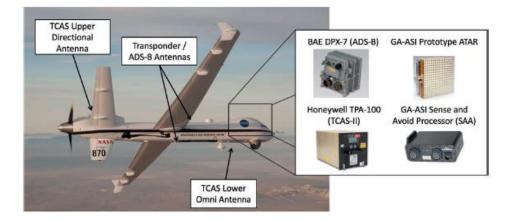
Detect and Avoid

- Radar Sensing
- Visual Sensing
- Artificial Intelligence
- Ground-based sensing and air/ground interaction

Trends – Radar Sensing

Large UAS

- Several companies developing air-to-air radars for large UAS
- C-band and X-band considered, electronic scanning
- Radar as key sensor to enable UAS integration without chase planes and observers
- Software defined architectures and multi-function options being considered



From: T. Kotegawa, "Proof-of-concept airborne sense and avoid system with ACAS-XU flight test," in *IEEE Aerospace* and Electronic Systems Magazine, vol. 31, no. 9, pp. 53-62, September 2016

Trends – Radar Sensing

- Small UAS / UAM / AAM
 - (high frequency) FMCW technology to cope with limited onboard power and size/weight budgets, link with automotive technology
 - Multi-channel techniques and/or innovative beam steering approaches (metamaterial antennas) to combine wide FOV coverage and degree-level angular accuracy
 - Different classes, weight ranging from a few tens of grams to order 1 Kg
 - Low target detectability as an issue for low power / low gain solutions in view of moving traffic avoidance
 - Ground clutter removal as a key issue in very low altitude scenarios



https://echodyne.com/products/echoflight/



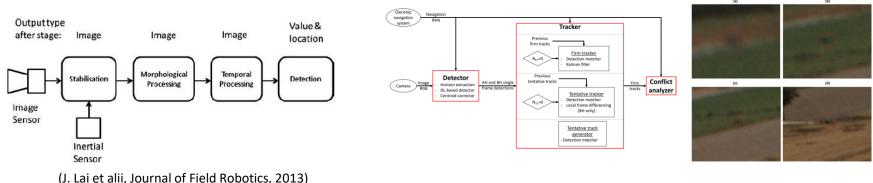
https://shop.imst.de/radar-solutions/



(https://ntrs.nasa.gov/api/citations/20210009973/downloads/NAS A-CR-20210009973.pdf)

Trends – Visual Sensing

- Main trends of interest concern algorithms (and computational power)
- Sky region vs below-the-horizon sensing
- Several sky region techniques augment morphological filters with multi-temporal techniques to extract slowly moving targets. Morphological filters can be aided or replaced by AI-based detectors
- Below-the-horizon: frame differencing / image registration concepts challenges, appearance-based techniques using deep learning



(Opromolla and Fasano, Aerospace Science and Technology, 2021)

Trends - Visual Sensing

- Deep learning also exploited in commercial solutions (airborne and ground-based)
- Emphasis on detection and tracking of manned aircraft
 - Non cooperative traffic detection to improve safety figures of general aviation
- Very large datasets for training, based on synthetic and flight data
- Airborne visual detection and tracking as test case in EASA Daedalean project "Concepts of Design Assurance for Neural Networks II" (CoDANN II) aimed at examining the challenges posed by the use of neural networks in aviation
 - <u>https://www.easa.europa.eu/newsroom-and-events/news/easa-publishes-second-joint-report-learning-assurance-neural-networks</u>

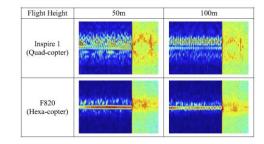


(https://www.irisonboard.com/casia/)

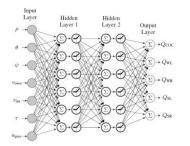
(https://daedalean.ai/products/detection)

Al in Sense and Avoid

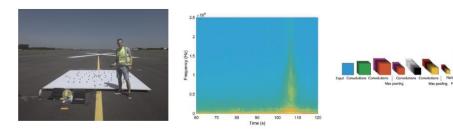
- AI potential is being investigated in the whole SAA pipeline
- Sensing
 - Visual
 - Information extraction by CNNs from raw radar and acoustic data
- Decision-making
 - Neural networks to compress look-up tables in ACAS-sXu
 - Reinforcement learning
 - End-to-end AI-based solutions
 - Many recent approaches are relevant to Micro Aerial Vehicles and agile flight in cluttered environments



(Kim et al., Drone Classification Using Convolutional Neural Networks With Merged Doppler Images, IEEE GRSL 2016)



(Julian et al., Deep neural network compression for aircraft collision avoidance systems, JGCD 2019)



(Wijnker et al., Hear-and-avoid for unmanned air vehicles using convolutional neural networks, Int. J. of Micro Aerial Vehicles, 2021)

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AI/ML in SAA – Considerations

- AI/ML approaches represent well assessed techniques, especially considering visual sensing
- Research perspectives and upgrades concern the entire SAA pipeline
- Dataset availability
 - Experimental tests in relevant environments
 - Challenges
- Combination of real and synthetic data
 - Generalization, performance/computational trade-offs
 - Datasets for new operating environments
- Certification
 - dataset characterization
 - stochastic nature of non cooperative sensing
 - multi-stage processing pipelines \rightarrow system level perspective

Trends - Ground-based sensing

- Main Technologies
 - Monostatic or traditional radar: existing, repurposed or new sensors
 - Bi-static or multi-static radar
 - EO cameras
 - Passive Acoustic
- Initially conceived as a near term / geographically limited solution → development towards distributed sensing networks
- Less SWaP constraints, limited surveillance volumes with variable sensing accuracy. Link with communications requirements and airspace management concepts



Combination of airborne cameras and ground-based radars (Echodyne Echoguard) https://dronebelow.com/2019/08/02/first-ever-bvlos-drone-operation-without-visual-observers/



https://www.raytheon.com/capabilities/products/skyler

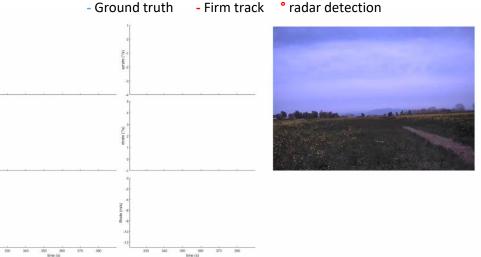
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Ground-to-Air Radar/Optical Fusion

- Low altitude sensing challenges due to clutter and slow targets
- Ad hoc techniques needed to remove ground echoes
- Fusion of low SWaP radar and optical sensors can improve sensing accuracy and integrity



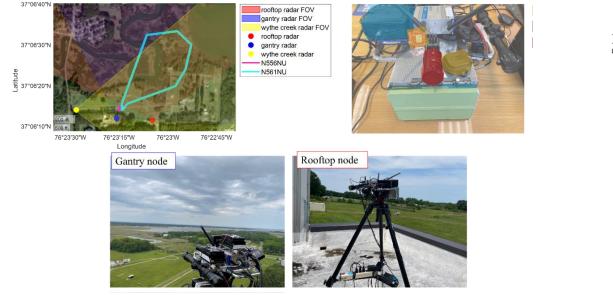


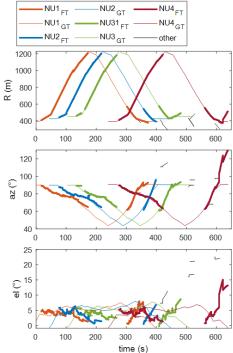


(Vitiello et alii, Radar/Visual Fusion With Fuse-Before-Track Strategy For Low Altitude Non-Cooperative Sense And Avoid, Aerospace Science and Technology 2024)

Distributed Sensing

- Exploiting a network of ground-based and airborne sensing sources
 - Radar, optical, multi-sensor-based
- System design and sensing algorithms



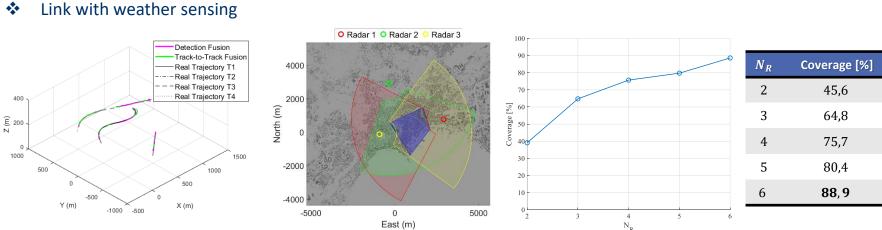


(Vitiello et alii, Assessing Performance of Radar and Visual Sensing Techniques for Ground-To-Air Surveillance in Advanced Air Mobility, AIAA/IEEE DASC 2023 Vitiello et alii, Experimental testing of data fusion in a distributed ground-based sensing network for Advanced Air Mobility, AIAA Scitech 2024)

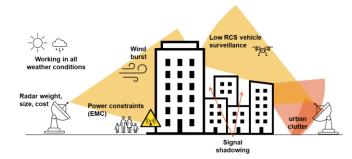
Ground-based radar networks

- * Concept of ground-based sparse medium power radar networks for low altitude surveillance in urban environments
 - Radar requirements
 - Sensor placement optimization •
 - Tracking and data fusion algorithms
- Interface with airspace structure and management, communication ** aspects, navigation performance





(Aievola et al., «Ground-based Radar Networks for Urban Air Mobility: Design Considerations and Performance Analysis", DASC 2022 Milone et al., «Optimization of Radar Networks for Airspace Surveillance in UAM and AAM scenarios", to be presented at DASC 2024)



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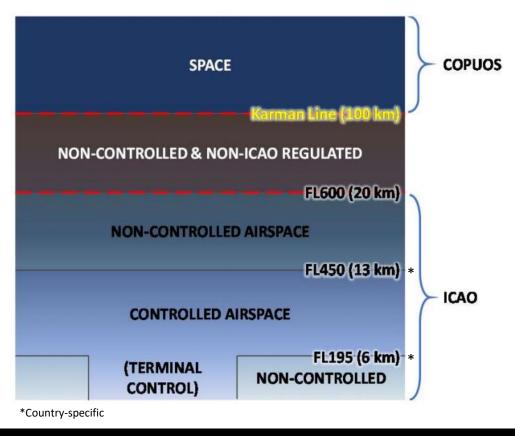
High-Altitude Operations and Space Traffic Management

Regulatory Framework Evolutions

The lack of regulatory oversight by the United Nations between FL600 (ceiling of ICAO jurisdiction) and the Karman Line (base of the COPUOS jurisdiction) is seen as a growing issue as more and more platforms operate regularly above FL600, while space launch and re-entry operations necessarily transit through this region.

An extension of the ICAO jurisdiction up to 50 km or more has been already proposed by ICCAIA.

ICAO - International Civil Aviation Organization COPUOS - Committee on the Peaceful Use of Outer Space ICCAIA - International Coordinating Council of Aerospace Industries Associations

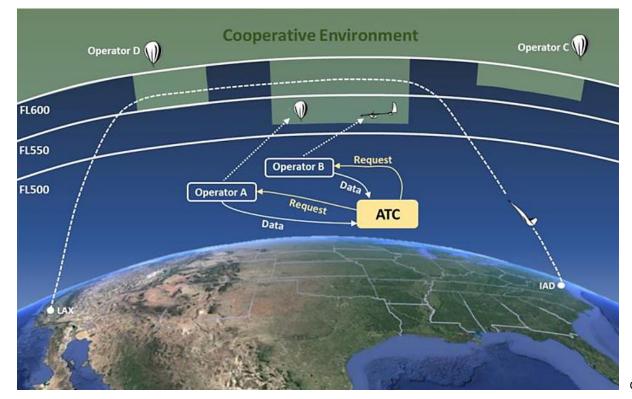


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43rd DASC, San Diego, CA, USA, 29 Sept-3 Oct 2024

Flight Above FL 600

Super/Hypersonic Vehicles, VHALE UAS, Stratospheric Airships, etc.

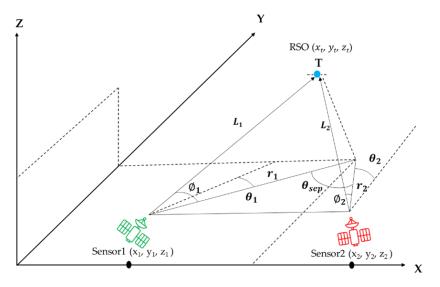


Credit: FAA

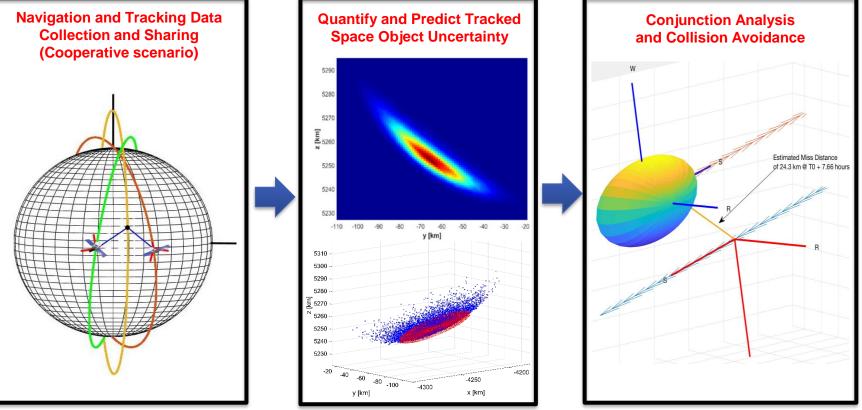
Space Domain Awareness

- Cooperative and Non-Cooperative Surveillance for SDA and STM
- Ground-Based Surveillance (GBS) and Space-Based Space Surveillance (SBSS)
- Tracking of <10cm RSO elusive to GBS infrastructure STM Requires SBSS Integration</p>





Space Traffic Management



Ref.: - S. Hilton, R. Sabatini, A. Gardi, et al., "Space traffic management: towards safe and unsegregated space transport operations", Progress in Aerospace Sciences, 105, pp. 98-125, 2019. - S. Hilton, F. Cairola, A. Gardi, R. Sabatini, N. Pongsakornsathien, and N. Ezer, "Uncertainty quantification for space situational awareness and traffic management", Sensors, 19, 2019.

Safety risk management for commercial space missions

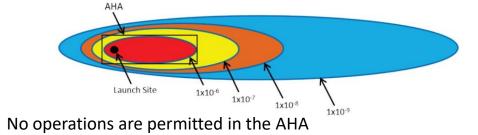
The FAA Office of Commercial Space Transportation (AST) and the Air Traffic Organization (ATO) have separately established public safety risk acceptance criteria that are expressed using different terminology and numerical values

| Element | AST | ΑΤΟ |
|----------------------------|---|---|
| Acceptable level of safety | 1×10^{-6} | 1×10^{-9} |
| Period | Per aircraft, per launch/fly- back operation | Per affected flight hour or air traffic control operation |
| Consequence | Casualty of an aircraft occupant | Fatality of an aircraft occupant |

The ATO proposed using the *Acceptable Level of Risk (ALR)* approach to temporarily bridge the differences and accommodate the growth of commercial space launches in the NAS.

Aircraft Hazard Areas (AHAs)

Risk contour during launch and re-entry per-aircraft probabilities of impact with debris capable of causing a casualty



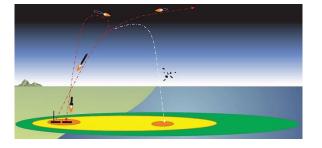
Commercial Space Mission Types

Missions using the ALR approach with the 30-Degree Angular Restriction ALR for these missions: 1×10^{-6} and the 1×10^{-7} risk contours

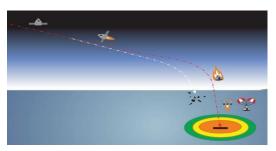
Launch Barge Fly-Back



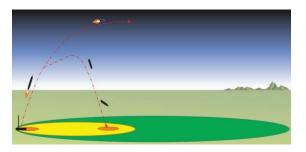
Launch Site Fly-Back



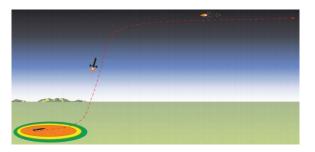
Capsule Reentry



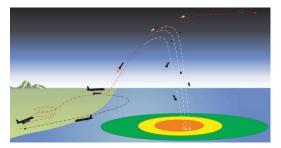
Expendable Launch Without Fly-Back



Horizontal Orbital



Captive Carry Orbital

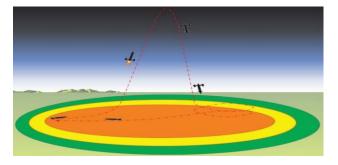


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Commercial Space Mission Types

Missions using the ALR approach with a risk buffer The appropriate risk buffer for each launch to ensure that the 1×10^{-7} individual risk limit

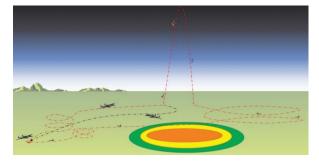


Horizontal Suborbital

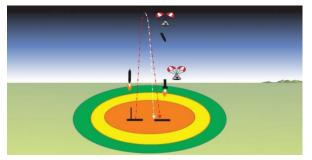
Vertical Launch Suborbital Expendable Booster



Captive Carry Suborbital



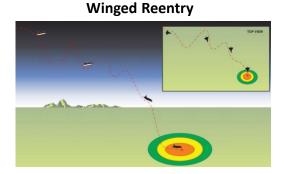
Vertical Launch Suborbital Reusable Booster



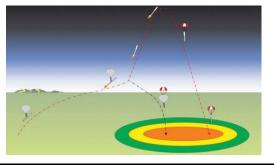
Commercial Space Mission Types

Missions to which the <u>ALR approach cannot be applied</u> at this time

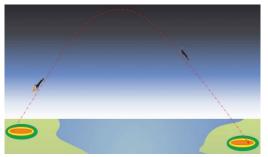
FAA was not able to identify the appropriate parameters, conditions, and restrictions that would allow a direct application of the ALR approach



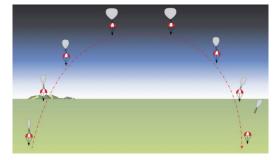
Balloon Launch



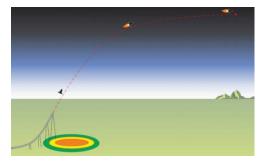
Point-to-Point



Stratospheric Manned Balloons



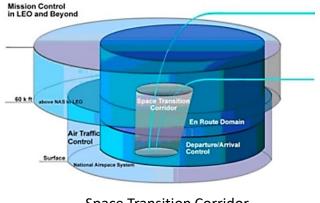
Tube and Rail Launchers



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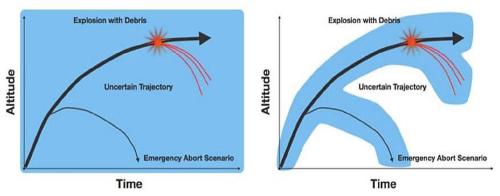
Emerging Airspace Management Concepts



Space Transition Corridor Courtesy: NextGen US

Space Transition Corridors

- Employing three spatial (length, width, azimuth) and two temporal parameters (duration and midpoint of corridor)
- Corridor remains static throughout its implementation



4 Dimensional Compact Envelopes Courtesy Stanford University Aerospace Design Lab

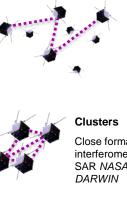
Four-Dimensional Compact Envelopes

- Based on individual probabilistic off-nominal spacecraft conditions during launch and re-entry phases
- Compact envelopes enforce only the portion of airspace that is at risk
- Elegant solution in safeguarding spacecraft operations compared to TFR (but complex practical implementation)

Distributed Space Systems

DSS move away from the **monolithic** space system concept to adopt multiple elements that interact, cooperate and communicate with each other, resulting in new systemic properties and/or emerging functions

| Architecture | Mission goals | Cooperation | System makeup | Inter-Sat distance | Operational independence |
|---------------|----------------------------------|----------------------|-----------------------------|-----------------------|-----------------------------|
| Constellation | Shared - Focus on coverage | Required | Homogeneous | Regional | Independent to co-dependent |
| Train | Independent to shared | Optional | Heterogeneous | Local | Independent |
| Cluster | Shared | Required | Homogeneous | Local | Independent to co-dependent |
| Swarm | Shared | Required | Homogeneous to heterogenous | Local to regional | Independent to co-dependent |
| Fractionated | Shared | Optional to required | Heterogeneous | Local | Independent to co-dependent |
| Federated | Independent | Ad-hoc, optional | Heterogeneous | Local to regional | Independent |



Swarms Strength in numbers- active research field 1000+ Small Sat Platforms

Close formation. interferometry, SAR NASA

Fractionated Fully distributed

Constellations

Focus on Coverage (EO & Communication) GPS, Iridium, DMC

OneWeb, Starlink (900+ Platforms)

Trains Synergistic Measurements, Reduce temporal variation in EO Mission NASA A-Train

functionalities (Power, Pavloads)active field of research.

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AI4SPACE Research Context

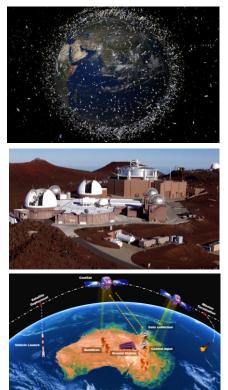
Advanced Satellite Systems, Sensors and Intelligence. Communications, connectivity and IoT technologies. Next Generation Earth Observation Services. Trusted Autonomy and Evolutionary Mission Control Centres

Strengths/Discriminators

- Space-based SDA/STM Reduction of uncertainty by Tracking of <10cm RSO's elusive to ground infrastructure
- AI-based sensor management and data fusion (autonomous decision making, diagnosis/prognosis and mission management)
- Custom sensors and data analytics products and services for: Mining and Resources, Agriculture/Horticulture/Aquaculture, Transport and Logistics
- Adaptive interfaces and interactions for de-crewing of mission control centres

Research Opportunities

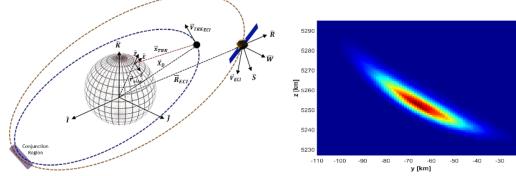
- Artificial Intelligence and Machine Learning (AI/ML) software for trusted autonomous operation
- Fault-tolerant avionics/spaceflight systems research
- Intelligent satellite health management systems
- Passive and active EO/IR sensors and systems

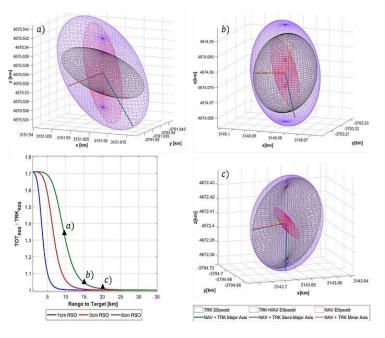


Space Traffic Management Evolutions

Non-cooperative/cooperative tracking, multi-objective trajectory optimisation and goal-based mission planning for time-critical application such as deconfliction of space vehicles

- Unified mathematical framework for 4-Dimensional collision uncertainty quantification and mapping
- Considering both space-based and ground-based space surveillance sensors
- Unique software tools employing AI/ML techniques





Ref.: - S. Hilton, R. Sabatini, A. Gardi, et al., "Space traffic management: towards safe and unsegregated space transport operations", Progress in Aerospace Sciences, 105, pp. 98-125, 2019. - S. Hilton, F. Cairola, A. Gardi, R. Sabatini, N. Pongsakornsathien, and N. Ezer, "Uncertainty quantification for space situational awareness and traffic management", Sensors, 19, 2019.

Security - Protecting Operations/Applications, Protecting Communications, Protecting Data

Cybersecurity

- **Privacy** preventing eavesdropping
- Authentication proof that a person or message is what it purports to be
- Authorization allowing only certain access or behaviors

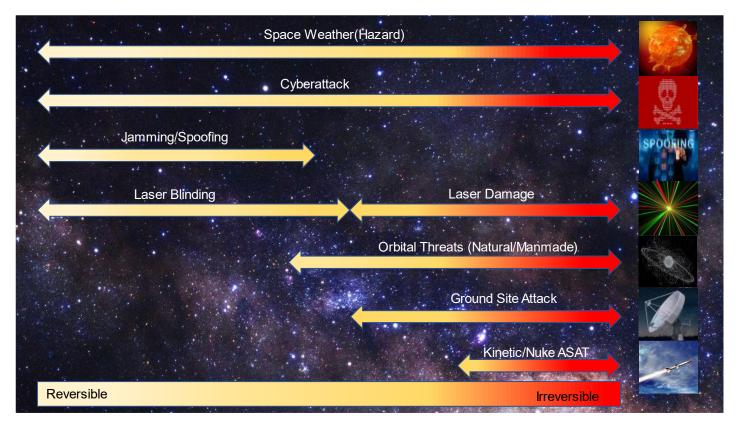
Physical Security

Often, a lack of physical security facilitates cyber attacks. Physical safeguards can reduce vulnerability

Cyber-Physical Systems

- Significant in the context of avionics and space systems
- Trustworthy AI?

Space Cyber-Physical Threats

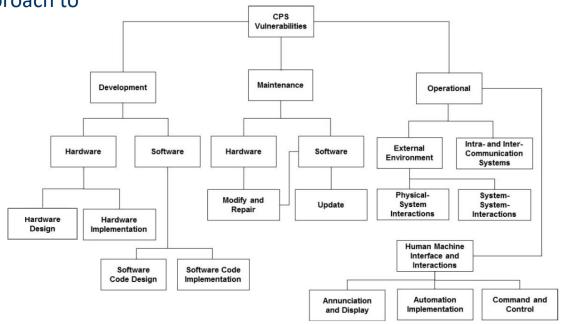


K. Thangavel, J.J. Plotnek, A. Gardi, R. Sabatini, "Understanding and Investigating Adversary Threats and Countermeasures in the Context of Space Cybersecurity", IEEE/AIAA 41st Digital Avionics Systems Conference, DASC 2022, Portsmouth, VA, USA, September 2022.

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Vulnerability Assessment

- STRIDE is an abbreviation for various known attack paths, one standard approach to assess vulnerabilities:
 - Spoofing Identity
 - Tampering with Data
 - **R**epudiation
 - Information Disclosure
 - **D**enial of Service
 - Elevation of Privilege



E. Blasch, R. Sabatini, A. Roy, K. Kramer, G. Andrew, G. Schmidt, C. Insaurralde, and G. Fasano, "Cyber Awareness Trends in Avionics," 2019 IEEE/AIAA 38th Digital Avionics Systems Conference (DASC), October 2019

43rd DASC, San Diego, CA, USA, 29 Sept-3 Oct 2024

Towards a Unified Cybersecurity Framework

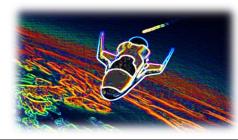
| Segment | Vulnerability | Threats | - | |
|---------------------------------|------------------------------------|--|---------|---------|
| Aircraft/Spacecraft | Systems/Payload Vulnerabilities | Denial of Service Hardware Backdoor Bespoke Malware Privilege Escalation Hijacking Sensor Injection | Ide | ntify |
| Comms/C2 Link | Signal Vulnerabilities | Jamming Eavesdropping Spoofing Metadata-Analysis Command Injection Replay Attacks Signal Injection | Recover | Protect |
| Ground Control (GCS and UTM) | GCS/UTM Vulnerabilities | Bespoke Malware Generic Malware Social Engineering Physical Access Data Corruption Hardware Backdoor | Respond | Detect |

K. Thangavel, A. Gardi, and R. Sabatini, "Cybersecurity Challenges of Multi-Domain Traffic Management and Aerospace Cyber-Physical Systems." 8th IEEE Cyber Science and Technology Congress (CyberSciTech 2023), Abu Dhabi, UAE, November 2023.

Multidomain Air and Space Traffic Management

- Unified approach to cooperative and non-cooperative SA/CA is necessary
- Accounting navigation/tracking uncertainties, relative dynamics and perturbations
- Both ground-based surveillance and SBSS (cooperative and non-cooperative) are needed for a scalable STM system
- Data-centric STM and ATM integration (MDTM)
- Cyber and physical security threats
- ✤ AI certification challenges









| 1. Introduction to the IEEE AESS Avionics Systems Panel | Rob (11:30) |
|---|---------------------|
| 2. Sustainable Aviation Challenges and Opportunities | Rob (11:50) |
| 3. Aviation Noise Impact Assessment and Mitigation | Erik (12:10) |
| 4. Evolving Technologies and R&I Agenda | Rob (12.30) |
| 5. ATM and Flight Management Systems | Alex (12.50) |
| 6. UTM, AAM and Trusted Automation | Alex (13.30) |
| 7. Recent Advances in Surveillance Systems | Giancarmine (13.50) |
| 8. High-Altitude and Sub-Orbital Flight Operations | Rob (14.10) |
| 9. Concluding Remarks | All (14.20) |

Concluding Remarks

Conclusions

- Environmental Challenges: The aviation industry faces significant challenges in reducing its environmental impact, particularly in terms of greenhouse gas emissions and noise pollution. Despite technological progress, the anticipated growth in air traffic will exacerbate these issues
- Triple-Bottom-Line (TBL) approach: A holistic TBL approach must be adopted to balance safety, efficiency and sustainable development objectives, giving due consideration to economic, social, and environmental factors
- Technological Advancements: Digital avionics systems are crucial for enhancing the sustainability of aviation and near-Earth space operations. Ongoing developments in aircraft systems and ground-based ICNS/C2 infrastructure support safer and more efficient flight operations, contributing to the development of a more sustainable aerospace sector
- Cybersecurity Concerns: As aviation systems become more data-driven and interconnected, cybersecurity has emerged as a critical concern. Ensuring the protection of these systems against potential threats is essential for maintaining safety and operational integrity
- Regulatory and Standardization Needs: The evolving landscape of aviation and spaceflight operations requires updates in regulatory frameworks and standards. Collaborative efforts with international bodies are necessary to harmonize standards and support the integration of new technologies

Recommendations

- Invest in Research and Innovation: Increase investment in research and innovation to develop avionics solutions for reduced aircraft emissions and noise (aviation context) and for Space Domain Awareness (SDA), supporting near-Earth spaceflight operations (space context)
- Focus on Education and Training: Invest in education and training programs to equip aerospace professionals with the skills needed to design and manage advanced avionics systems, emphasizing practical design skills for certification and environmental impact assessment
- Enhance AI and Machine Learning Capabilities: Utilize AI and machine learning to improve the predictive capabilities of traffic management systems. These technologies can optimize traffic flow, enhance situational awareness, and support real-time decision-making, thereby increasing the safety and efficiency of operations
- Develop Trusted Automation/Autonomous Systems: Invest in the development of trusted automation/autonomous systems that enhance human-machine collaboration. These systems should incorporate adaptive human-machine interfaces and interactions (HMI2) to ensure that automation complements human decision-making, particularly in complex and dynamic environments.
- Implement Multi-Domain Traffic Management (MDTM) Frameworks: Establish comprehensive MDTM frameworks that integrate air, space, and ground traffic management. This integration should leverage advanced ICNS technologies to ensure seamless and efficient operations across different domains



Thank you!



If you wish to discuss how you can contribute to the ASP activities, please send me an email at: roberto.sabatini@ku.ac.ae

You can find additional information about the ASP at: https://ieee-aess.org/tech-ops/avionics-systems-panel-asp

IEEE/AIAA Digital Avionics Systems Conference: https://2023.dasconline.org/

IEEE/AIAA Integrated Communication, Navigation and Surveillance Conference: <u>https://i-cns.org/about/</u>

IEEE/AIAA Aerospace Conference: https://www.aeroconf.org/

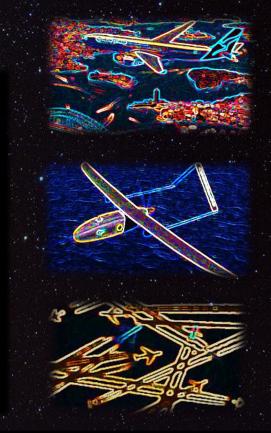
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